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### Abstract

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# User-Guided Dimensional Analysis of Indoor Building Environments from Single Frames of RGB-D Sensors

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## ABSTRACT

In many construction, facility management, and inspection tasks, dimensional analysis of geometric features and artifacts is significant for spatial analysis and decision making. Tasks such as as-built geometry modeling and robotic workspace generation need to efficiently interpret critical dimensions of specific objects (e.g., diameter of a pipe, width of an opening) in a potentially cluttered environment based on data gathered from various positions. This paper presents a user-guided dimensional analysis approach to automatically acquire geometric information from a single frame of an RGB-D sensor. In the first step, an RGB-D sensor is used to capture three-dimensional (3D) point clouds of building environments. Then, by extracting planes and performing geometric analysis, the dimensional information of objects of interest is obtained from a single frame. The designed user guidance system evaluates the completeness of the acquired data, and then provides interactive guidance for moving the sensor to acquire complete data, from which stable and accurate geometric measurements can be obtained. The proposed method has been tested on hallways, door frames, and stairs in a building environment. The experimental results demonstrate that the method offers significant promise in enabling dimensional analysis in a wide variety of real-time measurement contexts.

## Keywords

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**User guidance; Dimension; RGB-D sensor; Indoor scene; Geometric measurement**

## **Introduction**

Three-dimensional (3D) geometry and, in particular, dimensional information about the built environment is required in a wide range of civil infrastructure applications (Bosché 2010). During the construction phase, dimensional information must be monitored on site so that the work can meet the requirements of the design and specifications. During the maintenance phase, dimensional information is necessary to check whether the built environment remains consistent with existing building codes and to quantify any developed flaws (e.g. deformations). In addition, in the context of construction automation, dimensional information is useful for any robot performing tasks in the construction or built environment. For example, a door installing robot must consider the actual size of the door frame on a construction site instead of the designed size due to potential tolerance discrepancies. Given such dimensional information, the robot is able to install a door correctly and ensure that it can fit the panel in a frame accurately. In addition, the dimensions of any openings are significant for an autonomous robot while moving in indoor environments. For example, when passing through a door, a robot has to detect the dimension of the opening space so that it can make an informed choice about whether to directly go through this door or to find another way.

Traditionally, dimensional information in the built environment is manually obtained by tape measurements, which is labor intensive and has limited accuracy. With the rapid development of sensors for capturing 3D point clouds, geometric models of the civil infrastructure can be obtained rapidly and accurately, thereby making the automatic retrieval of infrastructure dimensions a possibility. In order to obtain accurate dimensions of civil infrastructure, laser scanners are widely

used to capture high-accuracy 3D point clouds to build 3D models that contain detailed dimensional information (Bennett 2009; Huber et al. 2010; Xiong et al. 2013). However, Tang et al. (2010) pointed out that this process is usually time-consuming and not fully automated.

Instead of using 3D laser scanners, RGB cameras (Bae et al. 2014; Brilakis et al. 2011; Golparvar-Fard et al. 2011) can be used to capture a series of images that are then processed using structure from motion (SFM) to generate 3D point clouds. This method is able to obtain point clouds for large-scale scenes and has a shorter data acquisition time compared to methods that use laser scanners. Another commonly used method to obtain colored 3D point clouds is to employ stereo cameras that are composed of two RGB cameras (Fathi and Brilakis 2011) or to utilize RGB-D cameras consisting of an RGB camera and a depth camera (Chen et al. 2015; Zhu and Donia 2013). One of the benefits of utilizing stereo or RGB-D cameras is that these cameras enable obtaining point clouds from single frames and thus performing data analysis in real time. Moreover, colored 3D point clouds provide the opportunity to extract semantic information compared to point clouds generated from laser scanners (Golparvar-Fard et al. 2011). Therefore, stereo or RGB-D cameras are well suited for geometry and dimension interpretation from 3D point clouds in contexts where human users or robots need to interact with the built environment in real time.

In this paper, we propose a user-guided dimensional analysis approach that is able to compute dimensions in indoor built environments using a color and depth (RGB-D) sensor. The method performs dimensional analysis on a single frame obtained from an RGB-D sensor to achieve high

computational efficiency and to avoid error accumulations in multi-frame registration. Due to the limited field of view and measurement range of the sensor, a single frame cannot guarantee that all dimensional information of interest can be computed. Therefore, a knowledge-based user guidance system is developed to guide a user (or a robot) to move the sensor to a better position so that complete data suitable for dimensional analysis is collected. After a complete frame data is collected, the geometric analysis is performed to obtain the necessary dimensional information.

The remainder of the paper is organized as follows: Section 2 reviews related work and outlines its limitations. Section 3 describes the designed method in detail. Section 4 describes the conducted experiments and the obtained results. Finally, Section 5 draws conclusions and discusses ongoing and future work.

## **Previous Work**

In the context of getting dimensional information from built environments, several research studies have focused on creating 3D models by using high-end 3D laser scanners (2D rotational laser scanners or terrestrial laser scanners), which can provide accurate and rich 3D point clouds of a large environment. Budroni and Boehm (2010) used a plane sweep algorithm and a priori knowledge to segment point clouds into floors, ceilings, and walls, and created a 3D interior model by intersecting these elements. Since this method utilized the Manhattan-world assumption to obtain rectangular primitives for objects, it failed to handle complicated geometric primitives or complicated structures. Nüchter and Hertzberg (2008) used semantic labeling to find coarse scene

features (e.g., walls, floors) of indoor scenes from point clouds obtained by a 3D laser scanner. They employed common-sense knowledge about buildings to label planar surfaces as wall, floor, ceiling, and door. Díaz-Vilariño et al. (2015) combined laser scan data and high-resolution images to detect interior doors and walls and automatically obtained optimized 3D interior models. Instead of primarily utilizing planes from point clouds, Dimitrov and Golparvar-Fard (2014) presented a new method to segment point clouds into non-uniform B-spline surfaces for as-built modeling.

In addition, several researchers have also used high-accuracy laser scanners to obtain 3D models of dynamic construction environments and equipment. Wang and Cho (2015) designed a smart scanning system to rapidly identify target objects and update the target's point clouds. They then used concave hull surface modeling algorithms to get a 3D surface model. Cho and Gai (2014) used laser scanners to obtain 3D point clouds of the environment and identified 3D target models by comparing them to a model database. The field results of these two papers demonstrated that the method could improve productivity and safety in heavy construction equipment operations. Brilakis et al. (2010) explored a framework for automated generation of parametric building information models (BIMs) of constructed infrastructure from hybrid video and laser scanning data. They developed several automated processes for generating BIMs from point clouds, for example, automated generation of colored point clouds from video and laser scanner data, and automated identification of most frequently occurring objects. A drawback of these approaches that use high-end 3D laser scanners is that they need professional setup and operation (e.g., attaching markers in the environment for registering point clouds). Moreover, the post-processing methods used to



extract 3D models from point clouds are time-consuming and labor intensive since such sensors typically obtain millions of points to represent surfaces as point clouds.

Instead of using high-accuracy laser scanners, simultaneous localization and mapping (SLAM) techniques have been widely used for registering multiple 3D frames and obtaining 3D models of large-scale environments with affordable sensors (e.g. low-cost RGB-D sensors, cameras). Newcombe et al. (2011) presented KinectFusion, which employed an iterative closest point (ICP) algorithm to register a current depth map to a global model reconstructed by fusing all previous depth maps. Taguchi et al. (2013) proposed the point-plane SLAM system that uses both points and planes as primitives to achieve faster correspondence search and registration of data frames, and to generate 3D models composed of planar surfaces. Cabral and Furukawa (2014) proposed a method for reconstructing a piecewise planar and compact floor plan from multiple 2D images, which provides an improved visualization experience albeit with fewer geometric details. Although the 3D models generated by these methods enable dimensional analysis in large-scale environments, the accuracy is limited due to drift error accumulations in multi-frame registration.

Unlike previous work, the method described in this paper aims to obtain dimensional information of indoor scenes from a single frame of an affordable RGB-D sensor. The proposed single-frame approach avoids the error accumulation problems inherent in multi-frame registration. In order to overcome the limitations of a single frame, such as the limited field of view and measurement range,

this paper describes a user guidance system that provides directional feedback for the user to obtain complete data suitable for dimensional analysis.

The most relevant prior work to our paper is Kim et al. (2012) which presented a hand-held system for real-time interactive acquisition of residential floor plans. The system described in that paper integrates an RGB-D sensor, a micro-projector, and a button interface to help the user capture important architectural elements in indoor environments. Instead of obtaining the floor plan of a building using a SLAM technique as in Kim et al. (2012), the method in this paper focuses on obtaining dimensional information of specific objects in indoor environments from a single frame. Moreover, the designed user guidance system guides the user in observing essential components for specified scenes.

The proposed user guidance system was inspired by Richardson et al. (2013) and Bae et al. (2010). Richardson et al. (2013) presented a user-assisted camera calibration method that suggests the position of calibration targets in the captured images to obtain reliable, stable, and accurate camera calibration results. Bae et al. (2010) proposed the computational rephotography system that, given a reference image, guides the user to capture an image from the same viewpoint. In order to obtain accurate dimensional information from a single frame of an RGB-D sensor, the proposed user guidance system evaluates the completeness of the current frame and then instructs the user to move the sensor to get improved results for the application. Using basic guidance, the proposed system

can lead a non-expert user through the steps necessary to obtain complete data and thus accurate dimensional measurements.

### **Dimensional Analysis – Technical Approach**

In this paper, the focus of the dimensional analysis is on civil infrastructure with planar surfaces in indoor environments using an RGB-D sensor. The proposed framework is shown in Fig. 1. Firstly, one frame of 3D point clouds (for example Fig. 1 (a)) is acquired by an RGB-D sensor. Then, the preprocessing is conducted on the point clouds to extract planar surfaces and compute topological relationships of these planes (Fig. 1 (b)). Based on the planes and their topological relations, the geometric analysis is performed to compute the initial dimensions of the scene (Fig. 1 (c)). Combining the scene type and the initial dimensional measurements, the user guidance system evaluates the completeness of the current frame and dimensional measurements. If the data frame does not contain all components for computing the dimensions, the user guidance system provides instructions for moving the sensor to get a complete frame and thus accurate dimension measurements. Therefore, a new frame data (Fig. 1 (d)) is captured by the sensor. The same processes, i.e. preprocessing (Fig. 1 (e)) and geometric analysis (Fig. 1 (f)), are performed to acquire new dimensions, which have a higher quality and are used as the final dimension estimation results.

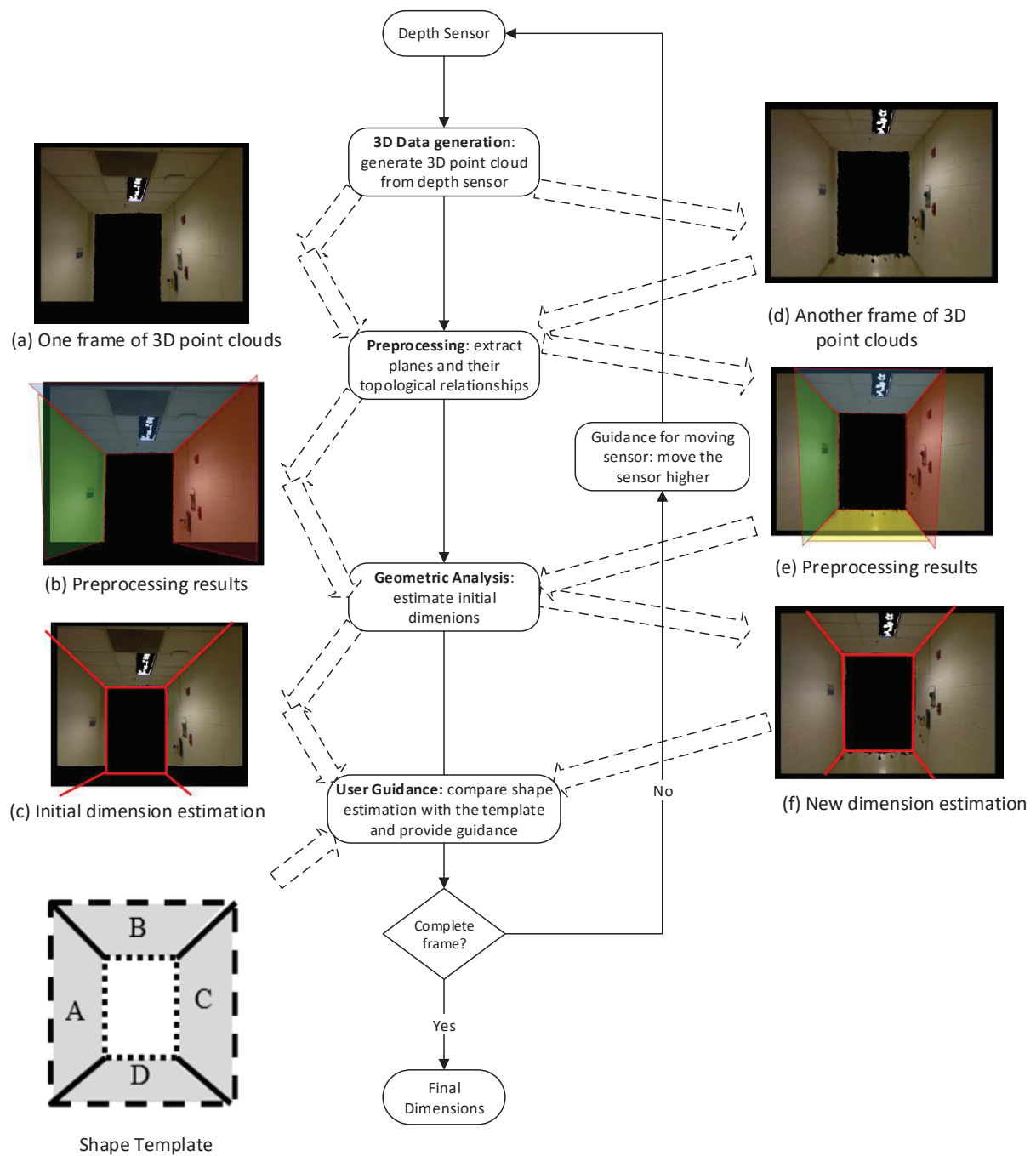


Fig.1. System Framework

## Data Preprocessing

In this paper, it is assumed that the object of interest is composed of, supported by, or surrounded by planar surfaces. Since the proposed method is intended for dimensional analysis of indoor scenes, this assumption is reasonable as the common objects in indoor scenes have planar surfaces. Based on this assumption, the geometric analysis is performed to obtain dimensional information of specific infrastructure elements.

In order to extract planar surfaces efficiently, the fast plane extraction algorithm for organized point clouds proposed by Feng et al. (2014) is employed. This algorithm first segments the point clouds into groups and uses them as nodes to create a graph. Then, an agglomerative hierarchical clustering is performed on this graph to merge nodes on the same plane. Finally, the planes are refined by pixel-wise region growing.

This paper focuses on estimating dimensions by utilizing plane topological relationships, which enables us to obtain robust and accurate measurements. Therefore, once all the planes are extracted from the point clouds, the topological relationships among these planes are estimated based on the plane parameters. Four types of plane topological relations of interest are defined as follows:

- Parallel: if the normal vectors of two planes are parallel to each other, the two planes are parallel planes.
- Coplanar: if two planes have the same geometric parameters, they are coplanar planes. Coplanar planes are also parallel planes.

- Intersecting: if two planes are not parallel to each other, they are intersecting planes.
- Perpendicular: if the normal vectors of two planes are perpendicular (orthogonal to each other), the two planes are perpendicular.

It should be noted that due to the uncertainty in sensor measurements, these relationships are approximately ascertained. For example, if the angle of the normal vectors between two planes is less than a specified  $\alpha$  degrees, they are considered as parallel planes ( $\alpha$  is empirically set as five to avoid classifying non-parallel planes as parallel due to large  $\alpha$  or failure in detecting the parallel plane relationship).

### **Geometric Analysis**

If all the measurements from the sensor were perfect, the dimensional information could be directly computed based on the geometric representations of the infrastructure. However, the sensor measurements have uncertainty inevitably and thus the geometric representations estimated from the point clouds are not perfect. In order to get robust and accurate dimensional information, least squares methods are utilized to mitigate measurements uncertainty. In this paper, based on the scene types and experimental scenarios, the distance between two parallel planes and the distance between boundary points of coplanar planes are of interest. In addition, these two distances are also of interest in general for indoor environments which contain many regular planar surfaces. Methods for these two distance computations are proposed to obtain robust estimation.

## Distance between Parallel Planes

After extracting the planes, the plane parameters are estimated from the points by least squares. Given the set of points  $\mathbf{p}_i^k = [x_i^k, y_i^k, z_i^k]$ ,  $k = 1, \dots, K$  assigned to Plane  $i$ , whose parameters are represented by  $\mathbf{P} = [a_i, b_i, c_i, d_i]^T$ , the plane equation  $a_i x_i^k + b_i y_i^k + c_i z_i^k + d_i = 0$  needs to be satisfied for all the  $K$  points. Thus, a homogeneous system can be constructed as

$$\mathbf{A}\mathbf{P} = 0 \quad (1)$$

where the matrix  $\mathbf{A}$  can be constructed by stacking the row vectors  $[x_i^k, y_i^k, z_i^k, 1]$ . In order to get the least squares estimation, one possible solution is to perform singular value decomposition (SVD) (Mandel, 1982) on the matrix  $\mathbf{A}$  and then the plane parameters  $\mathbf{P}$  can be extracted from the results of SVD. By the SVD theory a  $m \times n$  real matrix  $\mathbf{A}$  can be decomposed as  $\mathbf{A} = \mathbf{U}\mathbf{\Sigma}\mathbf{V}^T$  where  $\mathbf{U}$  is a  $m \times m$  unitary matrix (i.e.  $\mathbf{U}\mathbf{U}^T = \mathbf{I}$ ),  $\mathbf{\Sigma}$  is a  $m \times n$  diagonal matrix with non-negative values, and  $\mathbf{V}$  is a  $n \times n$  unitary matrix. In order to find a least-squares solution, by imposing the constraints  $\|\mathbf{P}\| = 1$ , the solution aims to minimize  $\|\mathbf{A}\mathbf{P}\|$ . As the rank of  $\mathbf{A}$  is  $n$  ( $m > n$  for our data), the solution of Equation (1) is the last column of  $\mathbf{V}$ .

Since it is assumed that there exist parallel plane sets, the plane parameter estimation results can be made more accurate by using this prior information. Suppose Plane  $i$  and Plane  $j$  are parallel to each other and the sets of points assigned to these planes are given as  $\mathbf{p}_i^k$ ,  $k = 1, \dots, K$  and  $\mathbf{p}_j^l$ ,  $l =$

1, ..., L. To enforce the parallel constraint, Plane  $i$  and Plane  $j$  share the same normal vector and the equations are defined as

$$\begin{aligned} ax_i^k + by_i^k + cz_i^k + d_i &= 0 \\ ax_j^l + by_j^l + cz_j^l + d_j &= 0 \end{aligned} \quad (2)$$

Then a homogenous system similar to Equation (1) can be constructed with  $\mathbf{P} = [a, b, c, d_i, d_j]^T$  and the matrix  $\mathbf{A}$  constructed by stacking  $[x_i^k, y_i^k, z_i^k, 1, 0]$  and  $[x_j^l, y_j^l, z_j^l, 0, 1]$ . Therefore, by using SVD the plane parameters of parallel planes are computed using all the points on the planes.

Once the plane parameters are obtained, the distance  $d_{ij}$  between the parallel planes is calculated directly based on the plane parameters as

$$d_{ij} = \frac{|d_i - d_j|}{\sqrt{a^2 + b^2 + c^2}} \quad (3)$$

### **Distance between Boundary Points of Coplanar Planes**

The coplanar planes boundary points refer to boundary points that are located between the two coplanar planes. For example, when measuring the width of the door while the door height is too high to be observed, the points on the wall near the door will be captured as two coplanar planes. To obtain the width of the door, the door frame points are extracted and used as the coplanar planes



boundary points. In this context, the door width is the distance between boundary points of two coplanar planes as shown in Fig. 2 (a).

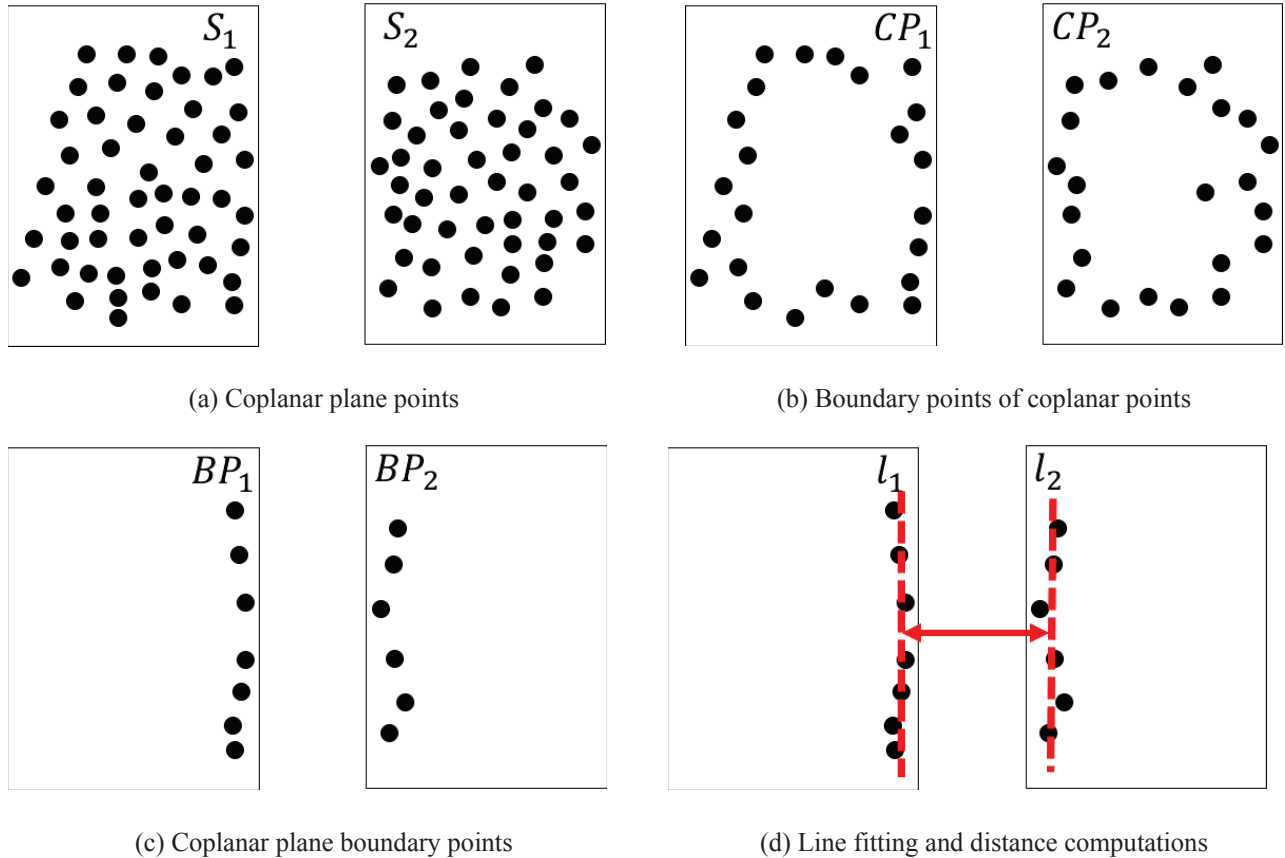


Fig. 2. Estimation of the distance between two coplanar planes

In order to automatically find door frames, firstly the topological relationships between extracted 3D planar surfaces are estimated based on the plane fitting results. After detecting the coplanar planes, all the coplanar planes are rotated based on the plane parameters to make sure that the normal of the plane is parallel to the new Y axis and all Y values of the rotated points are almost the same. Then the boundary points (Fig. 2 (b)) of the two planar surface,  $CP_1$  and  $CP_2$ , are separately

extracted by using the 2D alpha shape algorithm (Bernardini and Bajaj 1997). The 2D alpha shape algorithm moves a circle at a radius of  $\alpha$  in the space while the circle must only contain points on its boundary and no points are allowed inside of the circle. Those points that allow the circles are the boundary points extracted by the 2D alpha shape algorithm. Based on the boundary points of each surface, the coplanar planes boundary points  $BP_1$  and  $BP_2$  (Fig. 2 (c)), are obtained by utilizing a nearest points searching method. Finally, as shown in Fig. 2 (d) a pair of parallel lines  $l_1$  and  $l_2$  are fitted from  $BP_1$  and  $BP_2$  using the similar method in the previous section. The two 2D lines are defined as

$$\begin{aligned} ax_1^i + bz_1^i + c_1 &= 0 \\ ax_2^j + bz_2^j + c_2 &= 0 \end{aligned} \quad (4)$$

where  $[a, b, c_1]$  and  $[a, b, c_2]$  are respectively the geometric parameters of the two 2D lines of  $BP_1$  and  $BP_2$ , and  $(x_1^i, z_1^i)$  is the  $i$ -th point of  $BP_1$  while  $(x_2^j, z_2^j)$  is the  $j$ -th point of  $BP_2$ . Therefore, a homogeneous system described by Equation 1 can be obtained where  $\mathbf{P} = [a, b, c_1, c_2]$  and  $\mathbf{A}$  is constructed by stacking  $[x_1^i, z_1^i, 1, 0]$  and  $[x_2^j, z_2^j, 0, 1]$ . Based on the geometric parameters of the two 2D lines, the distance  $d_{12}$  between the two lines is computed as the following

$$d_{12} = \frac{|c_1 - c_2|}{\sqrt{a^2 + b^2}} \quad (5)$$

In this paper  $d_{12}$  is viewed as the distance between the two coplanar boundary points.

---

**Algorithm 1** Extract Coplanar Planes Boundary Point

---

```
1  function EXTRACTBOUNDARY( $CP_1, CP_2$ )
2     $BP_2 =$  EXTRACTEACHBOUNDARY ( $CP_1, CP_2$ )
3     $BP_1 =$  EXTRACTEACHBOUNDARY ( $CP_2, CP_1$ )
4    return ( $BP_1, BP_2$ );

5  function EXTRACTEACHBOUNDARY( $CP_1, CP_2$ )
6    is_searched[ 1:size( $CP_2$ )] = false;
7    for each  $pt \in CP_1$  do
8      // Search the nearest point to  $pt$  in  $CP_2$ 
9       $k =$  search_nearest_point( $CP_2, pt$ );
10     is_searched[ $k$ ] = true;
11  end for
12  for each  $i=1:size(CP_2)$  do
13    if is_searched[ $i$ ] = true then
14       $BP.add(CP_2 [i])$ ;
15    end if
16  end for
17  return  $BP$ 
```

---

In order to automatically extract the coplanar planes boundary points, a nearest point searching method (Algorithm 1) is proposed. The boundary points of the two planes,  $CP_1$  and  $CP_2$ , are separately extracted and used as input for that algorithm. For the first plane, for each point in  $CP_1$ , the nearest point in the second plane boundary points  $CP_2$  is searched (Algorithm 1 Lines 7-11). After iterating all the points on the first plane, the points in  $CP_2$  that have been searched as the nearest points,  $BP_2$ , belong to the coplanar boundary points from the second plane (Algorithm 1 Lines 12-16). By repeating the process for the second plane, the coplanar planes boundary points on the first plane,  $BP_1$ , can be also found.

This method utilizes the nearest neighbor search strategy to approximately find the coplanar planes boundary points. Since it employs the boundary points of each plane and the nearest neighbor search, it tends to prefer the point that is located closer to the other plane and thus to find a subset of the true coplanar planes boundary points. However, these points are sufficient for computing the distance between two coplanar planes as they are extracted from the boundary points of the two planes. In addition, this method utilizes the boundary points of the two coplanar planes, which reduces the computation time.

## **User Guidance**

The goal of the user guidance system is to generate instructions for moving the sensor to poses where the sensor can capture complete frames that contain all necessary elements of the scene and

yield accurate and robust measurements. In this paper, a complete frame denotes a single frame that includes all necessary components of the infrastructure features of interest. For example, a complete frame for a typical hallway contains the ground floor, the ceiling, and the two walls. The user guidance utilizes the prior knowledge of the scene, i.e. the scene type (box shape, opening structure, or parallel structure), the gravity direction, the shape template (which contains the topological relations between planar surfaces of the scene), etc., to identify whether a complete frame is captured by visualizing and checking the topological relations of planar surfaces.

---

**Algorithm 2** Generate User Guidance for a single frame

---

```
1  function GENERATEUSERGUIDANCE(frame, gravity, baselineSensorPoses)
2      template = GetSceneTemplate(sceneType)
3      shape = GeneateHypothesisShape (frame, template, gravity)
4      isComplete = Compare(template, shaple)
5      if isComplete == false do
6          userGuidance = ComputeGuidance(baselineSensorPoses)
7      end if
8      return userGuidance
```

---

Before using the sensor to collect data, it is assumed that the scene type is chosen by the user and there exists corresponding geometric and topological information of planar components. For a single

frame, the system tries to identify the components of a scene and recover a hypothesis shape which is used for generating the user guidance for moving the sensor (Algorithm 2 Line 3). The system checks the completeness of the current frame by comparing the shape template and the hypothesis shape (Algorithm 2 Line 4). In order to generate quantitative guidance for the user, the user guidance system utilizes some of the sensor poses that are able to observe complete frames as baseline sensor poses. When an incomplete frame is obtained, by comparing the current sensor pose with the baseline sensor poses (Algorithm 2 Line 6), the user guidance proposes quantitative movement suggestions of the sensor to the user. The generated guidance describes the sensor movement suggestions in terms of translation and rotation of the sensors with respect to the default sensor coordinate system. In the text, for the sake of illustration, simple cases of user guidance are used and the user guidance is described in words that are more user-friendly for human users. The user guidance generation stops if a complete frame is observed. The detailed user guidance system will be described for three general cases - box shape, opening structure, and parallel structure.

### **Box Shape**

A box shape is defined as the shape that contains two sets of two parallel planes while the two sets are perpendicular to each other. As shown in Fig. 3 (a), Plane *A* and *C* are parallel to each other, so are Plane *B* and *D*. Moreover, Plane *A* is perpendicular to Plane *D*. The solid lines in Fig. 3 (a) denote the intersection lines between two intersection planar surfaces. A typical example of a box shape is a hallway in indoor scenes and this paper uses a hallway as an example to illustrate the method. To get the dimension of this structure (the width and height of the hallway), the points from

all the four planes ( $A, B, C,$  and  $D$ ) should be observed by the sensor. Therefore, the sensor at the baseline poses should be in the center of the hallway and almost horizontal with its view direction parallel to Plane  $A$ . When the sensor acquires an incomplete frame which does not contain data from all the four planes, the user guidance will identify the incompleteness of the frame and provide user guidance for moving the sensor to capture sufficient points from all the four planar surfaces.

Since a typical hallway (composed of two walls, a ceiling, and a ground floor) is usually 2~3 meters high, an RGB-D sensor like Kinect is able to capture points from at least three planes of that hallway. When the sensor is too high away or too close to the ground floor, the ceiling or the ground floor cannot be observed by the sensor. If one planar surface is not obtained in the data, the geometric analysis is performed based on the partial data. Based on the prior information (i.e. the scene type, the related shape template and baseline sensor poses) and the captured data, the hypothesized shape is reconstructed to evaluate the completeness of this frame so as to guide the user.

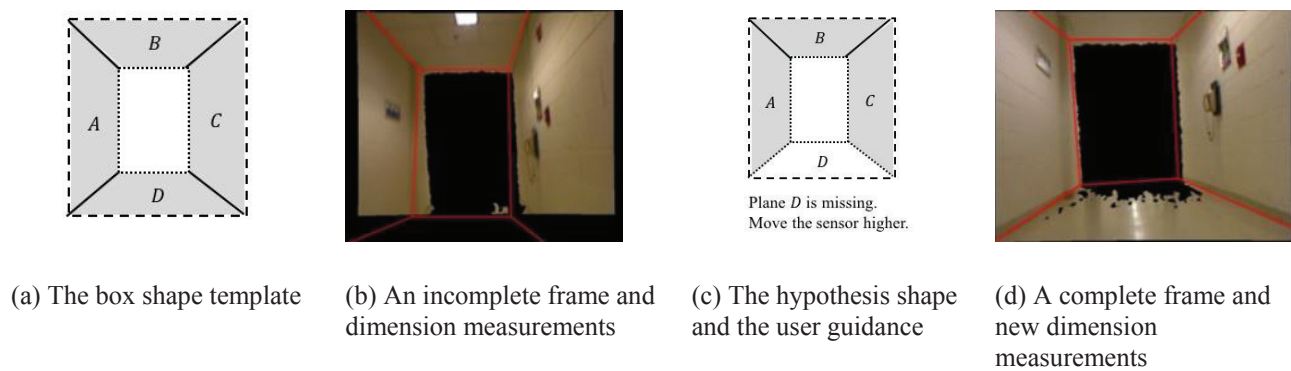


Fig. 3. Box shape user guidance

Fig. 3 shows an example of the user guidance for a box shape. Fig. 3 (a) displays a priori knowledge about the box shape template, where gray shapes denote planar surfaces. This shape template also contains geometric and topological information of all the four planes. As shown in Fig. 3 (b), Plane  $D$  (i.e., the ground floor) is not detected in the data because it is too close to the sensor (closer than the minimum measurement distance of the sensor). Based on the observed planes and the shape template, the user guidance system generates a hypothesis box shape from that frame. Since the ceiling and the two walls are measured in the data, the intersection lines between the three planar surfaces can be derived, as denoted by the two solid lines (in fact horizontal) in Fig. 3 (c). By vertically extending the end points (which are computed according to the line equation and the measured point clouds) of the two solid lines, the two vertical dotted lines are hypothesized and the other end points of the dotted lines are found based on their equations and the point clouds. The last two dotted lines are created by extending the end points while keeping it parallel to the two horizontal solid lines. Hence, the box shape (the red lines in Fig. 3 (b)) is constructed for this frame and an abstract template (Fig. 3 (c)) is also created. However, the height is not accurate since it is computed by hypothesizing the vertical dotted lines and their end points. By comparing the shape in Fig. 3 (c) and the shape template in Fig. 3 (a), the system identifies the fact that Plane  $D$  is not observed and then the user guidance system compares the current sensor pose with baseline sensor poses of the box shape and generates guidance for the user to move the sensor higher to obtain the accurate height.



Since the system detects that there are no points from Plane  $D$ , the system instructs the user to move the sensor higher in order to get points from Plane  $D$ , the floor. By following the guidance, the sensor is moved higher and then a new and better frame is obtained as shown in Fig. 3 (d). In this frame, all the four planes can be extracted from the point clouds and a box shape similar to the template can be constructed without using any hypothesis. Thus, both the height and the width of the hallway can be computed by geometric analysis.

It should be noted that by assuming the sensor is held almost horizontally, even though only one plane is observed, the user guidance system is still able to generate user guidance for moving the sensor to find complete frames. For example, if Plane  $A$  is observed, based on the sensor pose assumption, the user guidance system will identify that at least a wall is captured and provide guidance for moving or rotating the sensor right or left to capture more data. Similarly, if two planes are captured by the sensor, the user guidance system works well too.

## **Opening Structure**

An opening structure is defined as an opening in a planar surface, i.e., a rectangular hole within a planar surface. In this paper, a door frame that is indented in a wall is used as an example of an opening structure. Since most doors in this paper are located in the hallways, it is difficult to obtain both its width and height as the sensors cannot move as far from the door as possible when it is facing the door. Therefore, this paper currently focuses on estimating the width of a door. As shown in Fig. 4 (a), Plane  $A$  and Plane  $B$  are vertical walls and they are on the same plane (their topological

relation is coplanar). In order to get accurate width of the opening, the two planes  $A$  and  $B$  are necessary to provide constraints to reconstruct the shape of the opening. Thus, the user guidance is implemented to ensure that the two planes are observed by the sensor at an optimal pose, where the sensor at the baseline poses is almost horizontal and its view direction is orthogonal to Plane  $A$  and  $B$ , and moreover, it is close to the center of the opening.

Fig. 4 displays an example of the user guidance for an opening shape. The opening shape template is shown in Fig. 4 (a), where gray shapes denote planar surfaces and solid lines are components of the shape. For example, if Plane  $B$  is not captured in the data, a candidate wall is identified as follows: first the centroids of Plane  $A$  and Plane  $C$  are projected onto a line that passes the sensor position and is perpendicular to both Plane  $A$  and  $C$ ; since the projected point of the wall should be closer to the sensor compared to that of a door, Plane  $A$  is detected as a candidate wall. By assuming the door width, the system can still reconstruct an estimated shape as shown in Fig. 4 (b). Here the vertical solid line is estimated by fitting a line using the boundary points between the two parallel surfaces, while the vertical dashed line is hypothesized from the door width assumption. By comparing Fig. 4 (c) and (a), the user guidance system identifies that another wall, i.e., Plane  $B$ , is missing in the current frame. Therefore, using the baseline poses, the system instructs the user to move the sensor right so that the data of Plane  $B$  can be observed by the sensor. In this way, a new frame with better quality data that contains Plane  $A$  and  $B$  is obtained (Fig. 4 (d)). Thus, the door width is computed using the method for estimating the distance between boundary points of coplanar planes.

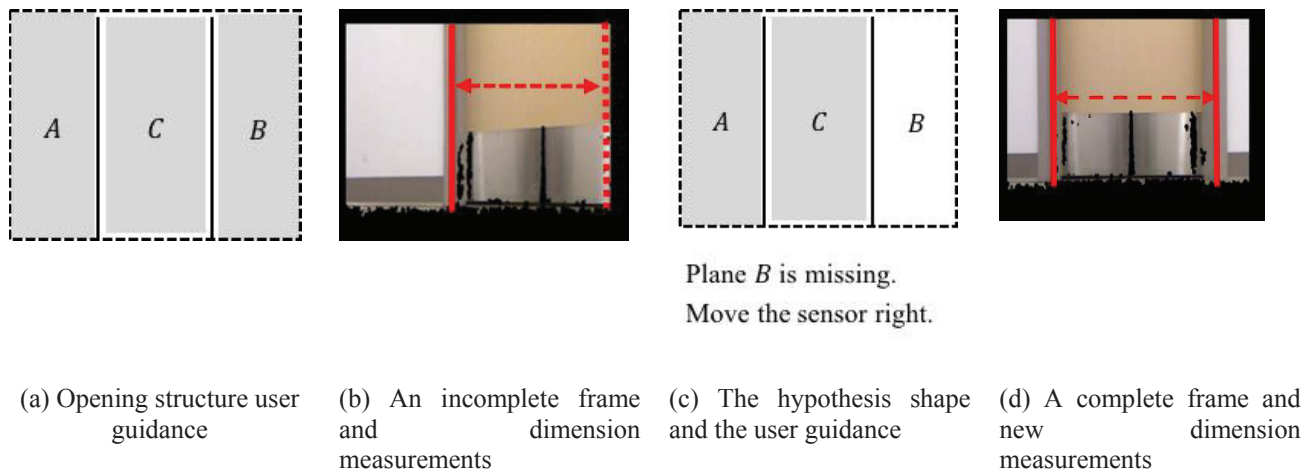


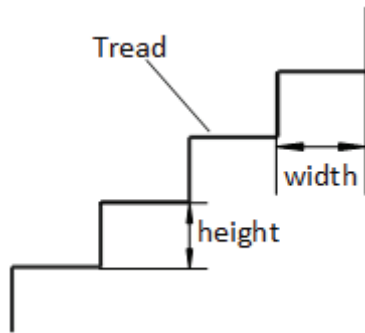
Fig. 4. Opening structure user guidance

It should be noted that for the simplicity of illustration, in Fig. 4 only translation related user guidance is discussed. In fact, by comparing with the baseline poses, the user guidance also produces sensor movement guidance in terms of orientation. For example, using the incomplete frame in Fig.4 (b) as an example, the normal vector  $\mathbf{n}_C$  of the candidate door plane, i.e. Plane *C*, is on the right of the view direction  $\mathbf{v}_{cam}$  if  $\mathbf{n}_C$  and  $\mathbf{v}_{cam}$  are both pointing to the door. To make  $\mathbf{n}_C$  and  $\mathbf{v}_{cam}$  point to the same direction, the sensor should be rotated right in order to approach the baseline poses for observing complete frames.

### Parallel Structure

A parallel structure is composed of multiple parallel planes. In this paper, the stair is used for an example of parallel structures. The critical dimensions of a parallel structure are the distances between parallel planes. For stairs, interesting dimensions are defined as follows (Fig. 5): the width

is defined as the distance between two consecutive vertical planes and the height is defined as the distance between two consecutive horizontal planes.



(a) The definition of stair width and height in a side view of a stair.



(b) The definition of stair width and height in a typical stair.

Fig. 5. Stair dimensions

There are two reasons for using parallel planes in the dimension definition. Firstly, for most applications in robotics and civil engineering, the dimensions defined in this way are sufficient even though stairs usually contain some protruding parts, for example, stair nosing (the protruding part of a tread), and bump to avoid slipperiness on the tread. Secondly, from a practical perspective, it is complicated to fit a perfect rectangle for point clouds since the sensors usually fail to obtain all points of edges. Moreover, the methods utilizing least squares estimation to fit a rectangle to point clouds are inclined to obtain a smaller rectangle compared to the ground truth. Therefore, we use the distance between two parallel planes to define the dimensions of interest and this definition also provides hints for the subsequent user guidance.

In order to obtain the width and height of the stairs, two sets of parallel planar surfaces must be presented in the point clouds. Since the width and height of a stair are close to each other, the sensor is able to get sufficient points from both horizontal and vertical planes if its view direction is around 45 degrees with respect to both the horizontal and vertical planes of stairs. Based on this principle, the user guidance system estimates its orientation with respect to the stairs and then provides corresponding instructions for moving the sensor to get more vertical and horizontal planes.

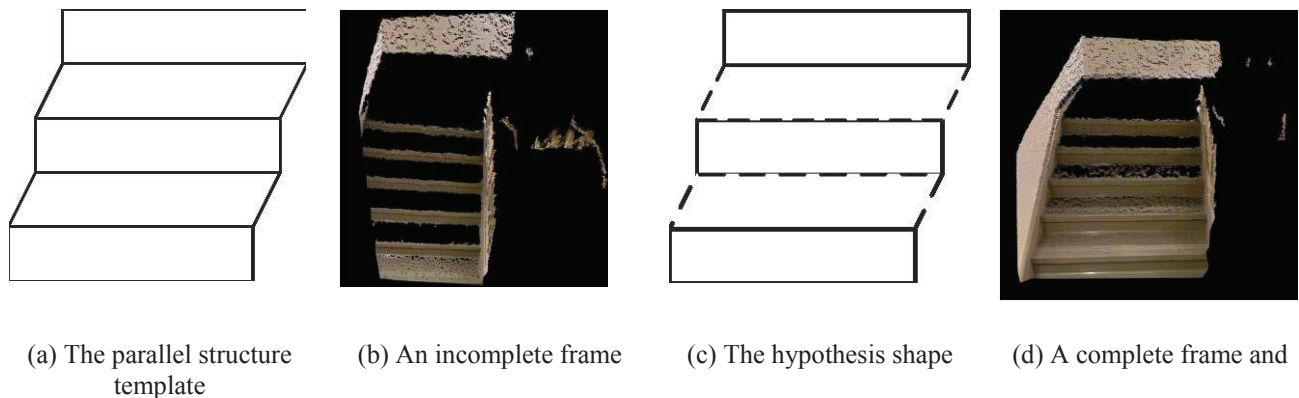


Fig. 6. Parallel structure user guidance

Fig. 6 shows an example of the user guidance system for the stair. The template of the stair is shown in Fig. 6 (a). In Fig. 6 (b) several vertical planar surfaces and a horizontal planar surface are observed in that frame. In this case, only the width of the stair is able to be computed from the vertical surfaces by the geometric analysis. Based on the assumption of the shape template (Fig. 6 (a)) and the frame data, the height of the stair can be approximately computed by estimating the height of several vertical planar surfaces. Thus, as shown in Fig. 6 (c), an instantiation of the shape template based on the data is derived from the frame data while the width has a good accuracy and

the height has a poor accuracy. Based on Fig. 6 (c) and the relative position and orientation of the sensor with respect to the stairs, the user guidance system provides guidance for moving the sensor to a better position with a better orientation. In this case, the sensor should be rotated toward the ground in order to obtain more points from the horizontal planes (Fig. 6 (d)).

## **Experiments and Results**

### **Experimental Setup and Sensor Calibration**

In the conducted experiments, a Kinect for Xbox 360 sensor is used as the RGB-D sensor to obtain 3D point clouds of indoor scenes. This sensor can capture images with a resolution of 640x480 and work at a frame rate of 30 fps. The suggested operation range of this sensor is 0.8 to 5.0 meters and the depth resolution decreases quadratically with increasing distance from the sensor (approximately 7cm at the range of 5m) (Khoshelham and Elberink 2012).

The RGB-D camera has an infrared (IR) camera and a color (RGB) camera. With the assistance of an IR laser emitter, the IR camera is able to get a depth image of the environment. Meanwhile, the RGB camera is able to capture a color image. By using the intrinsic parameters of the two cameras and the relative transformation between the two cameras, the colored 3D point clouds can be computed from the color image and the depth image. When the Kinect sensor is factory-assembled, the IR sensor and the RGB camera are fixed relative to each other and thus there exist default parameters for the two cameras, including the intrinsic parameters and their transformation matrix. However, due to imperfections in the manufacturing process, these default parameters

cannot be expected to be exact for all Kinect sensors. Therefore, it is necessary to calibrate the Kinect sensor if it is used for applications that require high and repeatable accuracy. The sensor calibration in this paper aims to obtain intrinsic and extrinsic parameters of the Kinect sensor and thus obtain accurate 3D colored point clouds from the sensor. By viewing the Kinect as a stereo system, a stereo camera calibration method is utilized to calibrate the Kinect and obtain its intrinsic parameters, and the extrinsic parameters between its IR camera and RGB camera.

The sensor is calibrated before gathering data. During the calibration, the IR emitter is covered by an opaque object and thus the IR sensor can obtain intensity instead of depth. To enable the IR sensor to capture a bright image, a lamp is used to provide more illumination for the calibration markers. In addition, to enable higher marker detection results, a fiducial marker system based on AprilTags (Olson 2011) is used instead of traditional checkboard for calibration. Based on multiple pairs of images by the IR sensor and the RGB sensor, the calibration obtains the parameters of the stereo system.

To fully utilize the knowledge of the measured indoor environment, during the experiments the sensor should be held almost horizontally to the extent possible by the user, which ensures that the gravity direction is consistent with the assumption used in recognizing components of scenes. The sensor can be tilted a little bit as a tolerance ( $\pm 15^\circ$  within the desired gravity direction) is added to check the gravity direction. Within this context, for a hallway, the floor is almost horizontal while the wall is almost vertical in the point clouds. This assumption is reasonable in terms of the potential

applications. For a robotic platform, it is easy to mount the sensor in this position. For a user holding the device, the sensor can be easily adjusted to meet this assumption.

Regarding the user guidance, the scene type, i.e. box shape, opening structure, or parallel structure, is selected by the user. The user guidance utilizes the shape template of the scene and geometric analysis to identify these planar components and the completeness of the frame. If the frame is incomplete, the system will generate user guidance and prompt it in the command window for the user. The correctness of the generated user guidance is highly dependent on the geometric analysis results, especially the components detection results for the specific scene type. For example, when observing the door, if one frame only contains the partial data from a cuboid recycle bin and the wall, the system will identify the wall as a candidate door while viewing the recycle bin as a candidate wall. In this context, the user guidance provided by the sensor will not be able to help find the correct door. In summary, for the current implementation, if the observed scene matches the designated shape template and the components identification is correct, the system can generate correct user guidance.

### **Average Geometric Measurement Accuracy**

To evaluate the geometric measurement accuracy, multiple complete frames are acquired by moving the sensor to different positions in order to obtain data at different viewpoints. The average values over all the measurements from those complete frames are used to demonstrate the accuracy and performance of the sensor in estimating the dimensions. The ground truth of the dimensional



information is obtained using a tape measure by a carpenter having ten years of construction experience. The error of this system is calculated by subtracting the average value from the ground truth.

In terms of a hallway structure, the method is tested on ten hallways in four different buildings. The overall accuracy of the widths and the heights of the hallways is shown in Table 1. The mean absolute error of the width measurement is 22mm while that of the height is 36mm. Considering the accuracy of the Kinect sensor, it can be concluded that this method is able to obtain accurate hallway width and height. The standard deviations of the absolute errors of the width and height measurements are 15mm and 24mm respectively. As shown in Table 1, the width measurement usually has a lower error and relative error compared to the height and moreover, the standard deviation of the width measurement is smaller than that of the height measurement. The reason is that the width of a hallway is usually less than its height and Kinect tends to obtain low-quality data from the ceiling or the floor because the uncertainty of the sensor goes up as the distance increases.

**Table 1.** Absolute errors and relative errors of hallway width and height measurements. Avg. and Std. denote average value and standard deviation of each column respectively.

ID	Error (mm)		Relative Error	
	Width	Height	Width	Height
Hallway 1	32	15	1.79%	0.59%

Hallway 2	33	55	1.81%	2.26%
Hallway 3	23	77	0.94%	2.65%
Hallway 4	48	27	1.96%	1.10%
Hallway 5	24	41	0.99%	1.67%
Hallway 6	4	15	0.16%	0.57%
Hallway 7	1	3	0.05%	0.11%
Hallway 8	32	50	1.33%	1.82%
Hallway 9	17	68	1.12%	1.98%
Hallway 10	4	12	0.20%	0.49%
<hr/>				
Avg.	22	36	1.04%	1.32%
<hr/>				
Std.	15	24	0.67%	0.82%
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For door frames, the method is tested on ten door frames in different buildings. The overall accuracy of the width of doors is shown in Table 2. The mean absolute error of the door width measurements is 16mm, which shows that the method measures door width with high accuracy. The standard deviation of the absolute errors is 14mm, which reflects the stability of this method in measuring door width.

**Table 2. Absolute errors and relative errors of door width. Avg. and Std. denote average value and standard deviation of each column respectively.**

ID	Error (mm)	Relative Error
Door 1	9	0.98%
Door 2	39	4.25%
Door 3	4	0.38%
Door 4	5	0.55%
Door 5	19	2.08%
Door 6	11	1.20%
Door 7	41	4.50%
Door 8	20	2.19%
Door 9	5	0.55%
Door 10	2	0.22%
Avg.	16	1.69%
Std.	14	1.49%

For stairs, the method is tested on ten stairs in different buildings. The mean absolute errors of the width and the height of these ten stairs are 4mm and 15mm respectively while the standard deviations are 4mm and 9mm as shown in Table 3. Compared to the accuracy of Kinect, these errors demonstrate that using parallel planes to compute dimensions is able to get an accurate and stable estimation. In addition, compared to the dimension measurements of hallways and doors, the stair

dimension measurements have a lower mean absolute error and standard deviation. This is partly due to the fact that the stair width and height estimated from a single frame are usually computed using multiple planes while the width and height of a hallway and the width of a door are estimated using two planes from a single frame.

**Table 3.** Absolute errors and relative errors of stair width and height measurements. Avg. and Std.

denote average value and standard deviation of each column respectively.

ID	Error (mm)		Relative Error	
	Width	Height	Width	Height
Stair 1	4	11	1.43%	5.97%
Stair 2	6	24	2.12%	13.03%
Stair 3	2	10	0.68%	6.18%
Stair 4	15	28	5.91%	14.30%
Stair 5	0	5	0	2.72%
Stair 6	1	14	0.36%	7.41%
Stair 7	5	4	1.62%	2.25%
Stair 8	2	11	0.65%	6.42%
Stair 9	10	4	3.48%	2.22%
Stair 10	29	1	11.30%	0.55%

Avg.	7	11	2.76%	6.13%
Std.	8	8	1.62%	4.30%

Even though the mean absolute errors of the stair height and width are lower than those of hallway and door dimensions, both the relative errors of the stair width and height (2.67% and 6.13%) are larger than those of the hallway and door dimension. This is mainly because the absolute values of the stair height (~180mm) and width (~300mm) are smaller compared to door width (~1,000mm), hallway width (~2,000mm), and hallway height (~2,500mm).

The developed methods are implemented in C++. The Point Cloud Library (PCL 2016) is utilized for capturing 3D point clouds from the Kinect sensor. The Computation Geometry Algorithms Library (CGAL 2016) is used for geometry computation. For the three cases, hallway, door, stairs, the average frame processing time are 0.03s, 0.8s, and 0.07s respectively. The experiments were conducted on a desktop with Intel Core i7-4790K CPU of 4.00GHz and RAM of 16GB. The implementation does not employ any multi-threading or GPU techniques. The door frame takes longer time because many geometric operations (e.g. boundary extraction) are performed in data analysis. However, using multiple threading techniques, the processing time can be improved and thus the system will be feasible for real-time applications.

## Relations between Sensor Poses and Dimension Measurements

To obtain complete frames of a scene, sensor poses (orientations and positions) have many options. This section will evaluate relations between sensor poses and the accuracy of dimension measurements for the three scenes. As aforementioned, the user guidance system generates instructions about moving the sensor's position and orientation. The hallway which has larger dimensions compared with the other two is used to evaluate the effect of sensor positions on the dimension measurement errors while the stairs to evaluate the effect of sensor orientations on the dimension measurement errors.

In terms of the hallway case, as the height of a hallway is usually larger than the width, we primarily evaluated the errors of height measurements corresponding to positions of the sensor by only varying the height of the sensor. We held the sensor horizontally, and vertically moved the sensor from a position close to the ground floor to a position close to the ceiling. Thus, the sensor poses in this way are assumed to have only variations in height. To obtain the relations between the sensor position and the error of the height measurement, the absolute distance difference  $d^*$  between the distance from the sensor to the ground floor and that from the sensor to the ceiling is computed. If the sensor is near the center of the hallway, the absolute difference  $d^*$  is near zero. On the contrary, if the sensor is close to the ground floor or the ceiling,  $d^*$  is larger and approaches the height of the hallway. For this hallway whose height is 2.91 meters, when the absolute difference  $d^*$  is larger than 2.6 meters, the sensor cannot observe any complete frames. As shown in Fig. 7, the average absolute error of height measurement increases as the absolute distance difference  $d^*$ . This

is partly due to the fact that when the sensor is far away from the hallway center, it captures many lower quality points that are far away from the sensor. For example, when the sensor is close to the ground and far from the ceilings, the ceiling points will have larger uncertainty compared to the ground points. These points with large uncertainty might lead to large errors in dimension measurements. In addition, as shown in Fig. 7 when the absolute distance difference  $d^*$  is less than 2 meters, the absolute error of height measurement has less variance compared to that within 2 and 2.5 meters. Thus, it is concluded that when the sensor is close to the center of a hallway it tends to provide robust and accurate hallway height estimation. However, it should be noted that if the sensor is located away from the center of a hallway, it does not necessarily indicate that higher accuracy dimensional measurements cannot be obtained. For example, when the absolute distance difference  $d^*$  is within 2 and 2.5 meters some of the absolute errors of height measurement are pretty accurate (less than 10mm). This is because the dimension measurements are computed using least squares estimation which mitigates large uncertainty of some points. Therefore, even though some points have higher uncertainty (which is still centimeter level), they do not dominate the least squares estimation results, i.e. dimension measurements in this paper. This is also indicated in Fig. 7 by the fact that the average height measurement errors of different ranges are within 20mm.

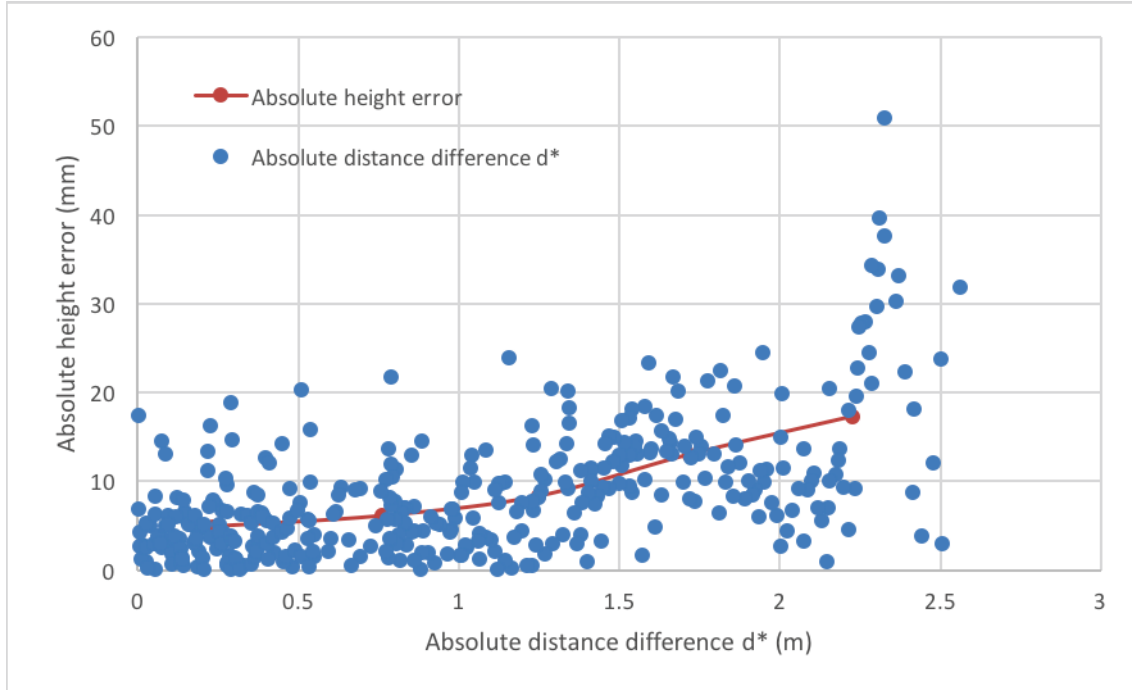


Fig. 7. Absolute error of measurements corresponding to absolute distance difference  $d^*$  between the distance from the sensor to the ground floor and that from the sensor to the ceiling.

For stairs, we collected data by only varying the view direction of the sensor to evaluate whether the sensor's orientation can improve the accuracy of dimensional measurements. We manually held the sensor horizontally and then rotated the sensor to change its view direction. From this dataset, the complete frames are extracted and their errors against the sensor orientation are shown in Fig. 8. To obtain complete frames, the sensor's orientation with respect to the horizontal surfaces of the stairs should be greater than  $18^\circ$  and less than  $73^\circ$ . The results show that the errors are within 20mm and the dimension measurements have similar errors given that the error of Kinect point measurement is also on the order of centimeters. In addition, Fig. 8 demonstrates that the sensor



orientation does not significantly affect errors of dimension measurements. This is also due to the sensor uncertainty and the dimension estimation method.



Fig. 8. Error of dimensions corresponding to sensor orientations for completed stair frames.

## Conclusions and Future Work

In this paper, a user-guided dimensional analysis method for indoor building environments is introduced. The system uses a single frame from an RGB-D sensor to obtain the dimensions of an indoor scene by extracting planes and performing the geometric analysis. To overcome the disadvantage of the single frame data, a user guidance strategy is employed to provide guidance for better sensor poses in order to acquire complete data frames. Experimental results show that this method can obtain accurate dimensions of hallways, doors, and stairs with centimeters error. The user guidance system is able to provide useful guidance for moving the sensor to obtain complete

frames. The experimental results also demonstrate that due to the uncertainty magnitude of the sensor and the dimension estimation method, when complete frames are captured the sensor poses have little effect on dimension measurements accuracy. Since the current user-guidance system only guides the user to obtain complete frames, future work will explore how to systematically investigate the relations between various sensor poses and the dimension measurement accuracy in order to generate guidance for better frames in terms of high accuracy dimension measurements.

Due to the sensor, i.e. RGB-D cameras, used in the experiments, this research has two main limitations. Firstly, the RGB-D sensors do not function well in outdoor environments because the ambient IR affects the functionality of the IR sensor. Secondly, the RGB-D cameras have limited accuracy which is not sufficient for some construction tasks especially during the construction phase (e.g., door installation). To overcome these two limitations, different sensors can be utilized to replace RGB-D cameras. For example, a stereo camera system can be used to get 3D point cloud in both indoor and outdoor environments. For those applications requiring high accuracy, more accurate sensors (e.g., laser scanners) can be adopted to acquire 3D point clouds. When different sensors are used, if the scene is the same, some minor changes needs to be made according to the property of sensors. Future work will investigate using stereo camera systems for measuring dimensions of civil infrastructure elements in both indoor and outdoor environments. Another limitation of the paper is that it can be only applied to infrastructure elements composed of planar surfaces. Future work will explore the design of corresponding geometric analysis and user guidance system for scenes containing non-planar surfaces.

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