

Phase Processing for Single Channel Speech Enhancement: History and Recent Advances

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Abstract

With the advancement of technology, both assisted listening devices and speech communication devices are becoming more portable and also more frequently used. As a consequence, the users of devices such as hearing aids, cochlear implants, and mobile telephones, expect their devices to work robustly anywhere and at any time. This holds in particular for challenging noisy environments like a cafeteria, a restaurant, a subway, a factory, or in traffic. One way to making assisted listening devices robust to noise is to apply speech enhancement algorithms. To improve the corrupted speech, spatial diversity can be exploited by a constructive combination of microphone signals (so called beamforming), and by exploiting the different spectro-temporal properties of speech and noise. Here, we focus on single channel speech enhancement algorithms which rely on spectro-temporal properties. On the one hand, these algorithms can be employed when the miniaturization of devices only allows for using a single microphone. On the other hand, when multiple microphones are available, single channel algorithms can be employed as a postprocessor at the output of a beamformer. To exploit the short-term stationary properties of natural sounds, many of these approaches process the signal in a time-frequency representation, most frequently the short time discrete Fourier transform (STFT) domain. In this domain, the coefficients of the signal are complex-valued, and can therefore be represented by their absolute value (referred to in the literature both as STFT magnitude and STFT amplitude) and their phase. While the modeling and processing of the STFT magnitude has been the center of interest in the past three decades, phase has been largely ignored. In this survey, we review the role of phase processing for speech enhancement in the context of assisted listening and speech communication devices. We explain why most of the research conducted in this field used to focus on estimating spectral magnitudes in the STFT domain, and why recently phase processing is attracting increasing interest in the speech enhancement community. Furthermore, we review both early and recent methods for phase processing in speech enhancement. We aim at showing that phase processing is an exciting field of research with the potential to make assisted listening and speech communication devices more robust in acoustically challenging environments.

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PHASE PROCESSING FOR SINGLE CHANNEL SPEECH ENHANCEMENT – HISTORY AND RECENT ADVANCES –

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In this survey, we review the role of phase processing for speech enhancement in the context of assisted listening and speech communication devices. We explain why most of the research conducted in this field used to focus on estimating spectral magnitudes in the STFT domain, and why recently phase processing is attracting increasing interest in the speech enhancement community. Furthermore, we review both early and recent methods for phase processing in speech enhancement. We aim at showing that phase processing is an exciting

field of research with the potential to make assisted listening and speech communication devices more robust in acoustically challenging environments.

INTRODUCTION

Let us first consider the common speech enhancement setup consisting of STFT analysis, spectral modification, and subsequent inverse STFT (iSTFT) resynthesis. The analyzed digital signal $x(n)$, with time index n , is chopped into L segments with a length of N samples, overlapping by $N - R$ samples, where R denotes the segment shift. Each segment l is multiplied with the appropriately shifted analysis window $w_a(n - lR)$ and transformed into the frequency domain by applying the discrete Fourier transform (DFT), yielding the complex-valued STFT coefficients $X_{k,\ell} \in \mathbb{C}$ for every segment ℓ and frequency band k . To compactly describe this procedure, we define the STFT operator: $\mathbf{X} = \text{STFT}(\mathbf{x})$. Here, \mathbf{x} is a vector containing the complete time domain signal $x(n)$ and \mathbf{X} is a $N \times L$ matrix of all $X_{k,\ell}$, which we will refer to as the spectrogram. Since we are interested in real-valued acoustic signals, we consider only complex symmetric spectrograms $\mathbf{X} \in \mathcal{S} \subset \mathbb{C}^{N \times L}$, where \mathcal{S} denotes the subset of spectrograms for which $X_{N-k,\ell} = \bar{X}_{k,\ell}$ for all ℓ and k , with \bar{X} being the complex conjugate of X .

After some processing, such as magnitude improvement, is applied on the STFT coefficients, a modified spectrogram $\tilde{\mathbf{X}}$ is obtained. From $\tilde{\mathbf{X}}$ a time domain signal can be resynthesized through an iSTFT operation, denoted by $\tilde{\mathbf{x}} = \text{iSTFT}(\tilde{\mathbf{X}})$. For this, the inverse DFT of the STFT coefficients is computed, and each segment is multiplied by a synthesis window $w_s(n - lR)$; the windowed segments are then overlapped and added to obtain the modified time domain signal. A final renormalization step is performed to ensure that, if no processing is applied to the spectral coefficients, there is perfect reconstruction of the input signal, i.e. $\text{iSTFT}(\text{STFT}(\mathbf{x})) = \mathbf{x}$. The renormalization term, equal to $\sum_{q=-\infty}^{+\infty} w_a(n + qR) w_s(n + qR)$, is R -periodic, and can be included in the synthesis window. A common choice for both $w_a(n)$ and $w_s(n)$ is the square-root Hann window, which for overlaps such that $N/R \in \mathbb{N}$ (e.g., 50 %, 75 %, etc) only requires normalization by a scalar. If the spectrogram is mod-

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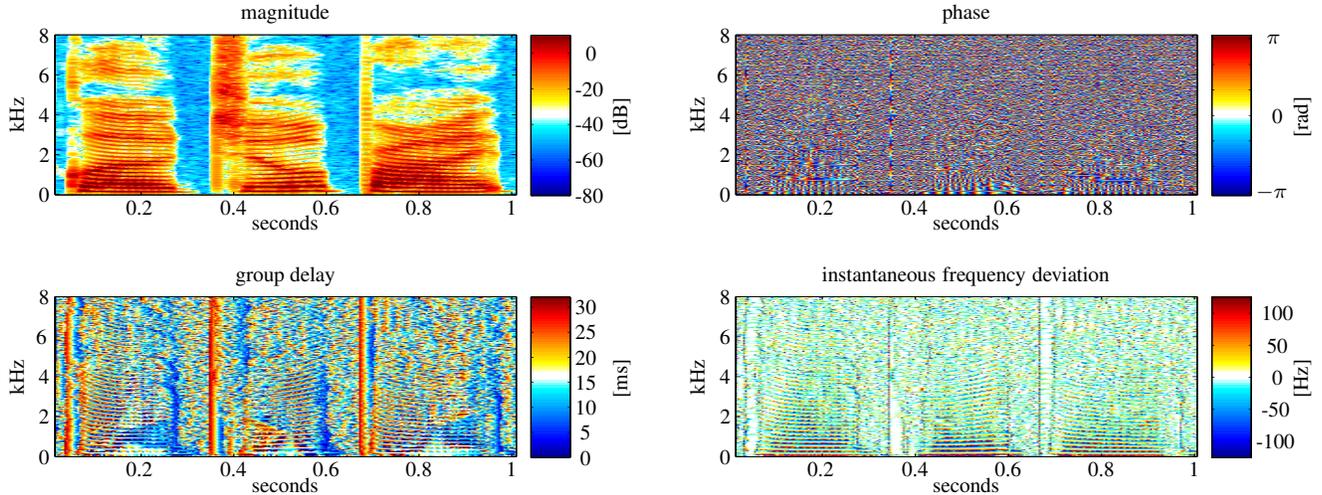


Fig. 1. Magnitude spectrogram, phase spectrogram, group delay and instantaneous frequency deviation of the utterance "glowed jewel-bright", using a segment length of 32 ms and a shift of 4 ms.

speech spectral coefficients [6, Ch. 4]. Examples of the latter are the Wiener filter as an estimator of the complex speech coefficients, or the short-time spectral amplitude estimators [7]. These MMSE estimators are driven by estimates of the speech and noise power spectral densities (PSDs). The noise PSD can for instance be estimated in speech pauses as signaled by a voice activity detector, by searching for spectral minima in each subband, or based on the speech presence probability [6, Ch. 6]. With the noise PSD at hand, the speech PSD can be estimated by subtracting the noise PSD from the periodogram of the noisy signal. This has been shown to be the maximum likelihood (ML) optimal estimator of the clean speech PSD when considering isolated and independent time-frequency points and complex Gaussian distributed speech and noise coefficients [6, Sec. 4.2]. To reduce outliers, the ML speech PSD estimate is often smoothed, for instance using the decision-directed approach [7] or more advanced smoothing techniques [6, Ch. 7].

Over the years many improvements have been proposed resulting in a considerable progress in better statistical models of speech and noise [6, Ch. 3], improved estimation of speech and noise PSDs [6, Ch. 6 and Ch. 7], combination with speech presence probability estimators [6, Ch. 5], and integration of perceptual models [6, Sec. 2.3.3]. Recent years have seen an explosion of interest in data-driven methods, with model-based approaches such as non-negative matrix factorization, Hidden Markov Models, and discriminative approaches such as deep neural networks. However, mainstream approaches have tended to ignore the phase, mainly due to the difficulty of modeling it and the lack of clarity about its importance, as we shall now discuss.

RISE, DECLINE AND RENAISSANCE OF PHASE PROCESSING FOR SPEECH ENHANCEMENT

The first proposals for noise reduction in the STFT domain arose in the late seventies. While the spectral subtraction approaches only modified the spectral magnitudes, the role of the STFT phase was also actively researched at the time. In particular, several authors investigated conditions under which a signal is uniquely specified by only its phase or only its magnitude, and proposed iterative algorithms for signal reconstruction from either one or the other (e.g., [1, 8] and references therein). For minimum or maximum phase systems, log-magnitude and phase are related through the Hilbert transform, meaning that only the spectral phase (or only the spectral magnitude) is required to reconstruct the entire signal. But the constraint of purely minimum or maximum phase is too restrictive for real audio signals, and Quatieri [8] showed that more constraints are needed for mixed-phase signals. For instance, imposing a causality or a finite length constraint on the signal and specifying a few samples of the phase or the signal itself is in some cases sufficient to uniquely characterize the entire phase function from only the magnitude. Quatieri [8] also showed how to exploit such constraints to estimate a signal from its spectral magnitude: assuming some time domain samples are known, and starting with an initial phase estimate and the known spectral magnitude, the signal is transformed to the time domain, where the given set of known samples is used to replace the corresponding time domain samples. Then the time domain signal is transformed back to frequency domain, where the resulting magnitude is replaced by the known magnitude. This procedure is repeated for a certain number of iterations. In the case of the STFT domain, the correlation between overlapping short-time analysis segments can be exploited to derive similar iterative algorithms that do not require time domain samples to

be known. A popular example of such methods is that of Griffin and Lim [1] (hereafter denoted GL), which we describe in more details later along with more recent approaches. While algorithms such as GL can also be employed with magnitudes that are estimated rather than measured from an actual signal, the quality of the synthesized speech and the estimated phase strongly depends on the accuracy of the estimated speech spectral magnitudes and artifacts such as echo, smearing, and modulations may occur [9].

To explore the relevance of phase estimation for speech enhancement, Wang and Lim [10] performed listening experiments where the magnitude of a noisy speech signal at a certain signal to noise ratio (SNR) was combined with the phase of the same speech signal but distorted by noise at a different SNR. Listeners were asked to compare this artificial test stimulus to a noisy reference speech signal, and to set the SNR of the reference such that the perceived quality was the same for the reference and the test stimulus. The result of this experiment was that the SNR gain obtained by mixing noisy magnitudes with a less distorted phase resulted in typical SNR improvements of 1 dB or less. Hence, Wang and Lim concluded that improving phase was not critical in speech enhancement [10]. Similarly, Vary [11] showed that only for local SNRs below 6 dB a certain roughness could be perceived if the noisy phase was kept unchanged. Finally, Ephraim and Malah [7] investigated the role of phase improvement from a statistical perspective: they showed that, under a zero-mean circular Gaussian speech and noise model and assuming that time-frequency points are mutually independent given the speech and noise PSDs, the MMSE estimate of the complex exponential of the speech phase has an argument equal to the noisy phase. Also for more general models for the speech magnitudes with the same circularity assumption, it has been shown that the noisy phase is the ML optimal estimator of the clean speech phase, e.g. [12]. Note, however, that the independence assumption does not hold in general, and especially not for overlapping STFT frames, where part of the relationship is actually deterministic.

As a consequence of these observations, subsequent research in speech enhancement focused mainly on improving magnitude estimation, while phase estimation received far less attention for the next two decades. Even methods that considered phase, either by use of complex domain models, or by integrating out phase in log-magnitude-based models in a sophisticated way [13], ultimately used the noisy phase because of similar circularity assumptions.

However, as the performance of magnitude-only methods can only go so far without considering the phase, and with the increase in computational power of assisted listening and speech communication devices, all options for improvements are back on the table. Therefore, researchers started re-investigating the role of the STFT phase for speech intelligibility and quality [14, 15]. For instance, Kazama et al. [14] investigated the influence of the STFT segment length on the

role of the phase for speech intelligibility for a segment overlap of 50%. They found that, while for signal segments between 4 ms and 64 ms the STFT magnitude spectrum is more important than the phase spectrum, for segments shorter than 2 ms and segments longer than 128 ms the phase spectrum is more important. These results are consistent with Wang and Lim’s earlier conclusions [10]. In order to focus on practical applications, Paliwal et al. [15] investigated signal segments of 32 ms length, but in contrast to Wang and Lim [10] and Kazama et al. [14], they used a segment overlap of 7/8th instead of 1/2 in the STFT analysis, and they also zero-padded the time segments before computing the Fourier transform. With this increased redundancy in the STFT, the performance of existing magnitude-based speech enhancement can be significantly improved [15] if combined with enhanced phases. For instance, Paliwal et al. [15, case 4] report an improvement of 0.2 points of perceptual evaluation of speech quality (PESQ) mean opinion score (MOS) for white Gaussian noise at an SNR of 0 dB when combining an MMSE estimate of the clean speech magnitude with the oracle clean speech phase in a perfectly reconstructing STFT framework.

Paliwal et al.’s research confirmed the importance of developing and improving phase processing algorithms. This has recently been the focus of research by multiple groups. We shall now survey the main directions that have been investigated so far: better and faster phase estimation from magnitude, modeling of the signal phase, group delay and transient processing, and joint estimation of phase and magnitude.

ITERATIVE ALGORITHMS FOR PHASE ESTIMATION

Among the first proposals for phase estimation are iterative approaches which aim at estimating a time domain signal whose STFT magnitude is as close as possible to a target one [1, 8]. Indeed, if the STFT magnitude of two signals are close, the signals will in general be perceptually close as well. Thus, finding a signal whose STFT magnitude is close to a target one is considered a valid goal when looking to obtain a signal that “sounds” like that target magnitude. This motivated intense research on algorithms to estimate signals (or equivalently a corresponding phase) given target magnitudes, with applications such as speech enhancement or time-scale modification. In the case of speech enhancement, the magnitude is typically obtained through one of the many magnitude estimation algorithms mentioned earlier, while some estimate of the phase, such as that of the noisy mixture, may further be exploited for initialization or as side information.

The most well-known and fundamental of these approaches is that of Griffin and Lim [1], which consists in applying STFT synthesis and analysis iteratively while retaining information about the updated phases and replacing the updated magnitudes by the given ones. This exploits correlations between neighboring STFT frames to lead to an estimate of the spectral phases and the time domain signal.

Given a target magnitude spectrogram A , Griffin and Lim

formulated the problem as that of estimating a real-valued time domain signal \mathbf{x} such that the magnitude of its STFT \mathbf{X} is closest to \mathbf{A} in the least-squares sense, i.e., estimating a signal \mathbf{x} which minimizes the squared distance

$$d(\mathbf{x}, \mathbf{A}) = \sum_{k,\ell} \left| |X_{k,\ell}| - A_{k,\ell} \right|^2. \quad (2)$$

They proposed an iterative procedure which can be proven to minimize, at least locally, this distance. Starting from an initial signal estimate $\mathbf{x}^{(0)}$ such as random noise, iterate the following computations: compute the STFT $\mathbf{X}^{(i)}$ of the signal estimate $\mathbf{x}^{(i)}$ at step i ; compute the phase estimate $\phi^{(i)}$ as the phase of $\mathbf{X}^{(i)}$, $\phi^{(i)} = \angle \mathbf{X}^{(i)}$; compute the signal estimate $\mathbf{x}^{(i+1)}$ at step $i+1$ as the iSTFT of $\mathbf{A}e^{j\phi^{(i)}}$. Using the operator \mathcal{G} defined in (1), this can be reformulated as

$$\phi^{(i+1)} = \angle \mathcal{G}(\mathbf{A}e^{j\phi^{(i)}}). \quad (3)$$

This procedure can be proven to be non-increasing as well for a measure of inconsistency of the spectrogram $\mathbf{A}e^{j\phi^{(i)}}$ defined directly in the time-frequency domain:

$$\mathcal{I}(\phi) = \|\mathcal{G}(\mathbf{A}e^{j\phi}) - \mathbf{A}e^{j\phi}\|_2^2. \quad (4)$$

Indeed, one can easily show that $d(\mathbf{x}^{(i+1)}, \mathbf{A}) \leq \mathcal{I}(\phi^{(i)}) \leq d(\mathbf{x}^{(i)}, \mathbf{A})$. Interestingly, if only parts of the phase are updated according to (3), the non-decreasing property still holds for $\mathcal{I}(\phi)$, but whether it still does for $d(\mathbf{x}, \mathbf{A})$ has not been established.

Due to the extreme simplicity of its implementation and to its perceptually relatively good results, GL was used as the standard benchmark and a starting point for multiple extensions in the three decades that have followed, even after better and only marginally more involved algorithms had been devised. Most of the algorithms that have been developed since attempted to fix GL's issues, of which there are several: first, convergence typically requires many iterations; second, GL does not provide a good initial estimate, starting from random phases with no considerations for cross-frame dependencies; third, the updates rely on computing STFTs, which are computationally costly even when implemented using FFTs; fourth, the updates are typically performed on whole frames, without emphasis on local regularities; finally, the original version of GL processes signals in batch mode.

On this last point, it is interesting to note that Griffin and Lim did actually hint at how to modify their algorithm to use it for online applications. They described briefly in [1] and with more details in [16] how to sequentially update the phase using "cascaded processors" that each take care of one iteration; their particular proposal however still incurs an algorithmic delay of I times the window length if performing I iterations. In [16], Griffin also presented several methods that he referred to as "sequential estimation methods": these only incur a single frame delay and could thus be used for online application,

the best performing one being reported as on par with batch GL.

While one can already see in Griffin's account [16] several elements to modify GL into an algorithm that can lead to high quality reconstruction in a real-time setting, such as sliding-block analysis across the signal and the use of windows that compensate for partially reconstructed frames, these ideas seem to have gone largely unnoticed and it is not until much later that they were incorporated into more refined methods. Beauregard, Zhu and Wyse proposed consecutively two algorithms for real-time signal reconstruction from STFT magnitude, the Real-Time Iterative Spectrogram Inversion (RTISI) algorithm and RTISI with look ahead (RTISI-LA) [17]. RTISI aims at improving the original batch GL in two respects: allowing for on-line implementation, and generating better initial phase estimates. The algorithm considers the frames sequentially in order, and at frame ℓ , it only uses information from the current frame's magnitude and the previous overlapping frames. The initial phase estimate $\phi_\ell^{(0)}$ for frame ℓ is obtained as the phase of the partial reconstruction from the previous frames, windowed by an analysis window, which already ensures some consistency between the phases of the current and previous frames. An iterative procedure similar to GL is then applied, limited to the current frame's phase: at each iteration, frame ℓ 's contribution to the signal is obtained by the inverse DFT of the phase $\phi_\ell^{(i)}$ combined with the target magnitude; frame ℓ 's contribution is then combined by overlap-add to the contribution of the previous frames, leading to a signal estimate for frame ℓ ; the phase $\phi_\ell^{(i+1)}$ is estimated as the phase of this signal estimate to which the analysis window is applied.

RTISI does lead to better results than GL for the first few iterations, but it quickly reaches a plateau and is ultimately significantly outperformed by GL. This is mainly due to the fact that RTISI does not consider information from future frames at all, even though the contribution of these future frames will later on be added to that of the past and current frames, effectively altering the estimation performed earlier. Its authors thus proposed an extension to RTISI including an M frame look-ahead, RTISI-LA. Instead of considering only the current frame as active, RTISI-LA performs GL-type updates on the phases in a block of multiple frames. The contribution of future frames outside the block is discarded during the updates, because the absence of a reliable phase estimate for them is regarded as likely to make their contribution more of a disturbance than a useful clue. This creates an asymmetry, which Zhu et al. [17] proposed to partially compensate by using asymmetric analysis windows with a reverse effect. Although the procedure relies on heuristic considerations, the authors show that it leads to much better performance than GL for a given number of iterations per block.

While RTISI and RTISI-LA were successful in overcoming GL's issues regarding online processing and poor initialization, they did not tackle the problems of heavy reliance on

costly FFT computations and lack of care for local regularities in the time-frequency domain. Solving these problems was difficult in the context of classical approaches relying on enforcing constraints both in the time-frequency domain (to impose a given magnitude) and the time domain (to ensure that magnitude and phase are consistent), because they inherently had to go back and forth between the two domains, processing whole frames at a time. A solution was proposed by Le Roux et al. [18], whose key idea was to bypass the time domain altogether and reformulate the problem inside the time-frequency domain. The standard operation of classical iterative approaches, i.e., computing the STFT of the signal obtained by iSTFT from a given spectrogram, can indeed be considered as a linear operator in the time-frequency domain. Le Roux et al. noticed that the result of that operation at each time-frequency bin can be well approximated by a local weighted sum (LWS) with complex coefficients on a small neighborhood of that bin in the original spectrogram. While the very small number of terms in the sum does not suffice to reduce the complexity of the operation compared to using FFTs, the locality of the sum opens the door to selectively updating certain time-frequency bins, as well as to immediately propagating the updated value for a bin in the computations of its neighbors' updates. Taking advantage of the sparseness of natural sound signals, Le Roux et al. showed in particular that focusing first on updating only the bins with high energy not only reduced greatly the complexity of each iteration, but also could lead to better initializations, the high energy regions serving as anchors for lower energy ones. While the LWS algorithm was originally proposed as an extension to GL for batch-mode computations, the authors later showed that it could be effectively used in online mode as well in combination with RTISI-LA [19]. Interestingly, a different prioritization of the updates based on energy, at the frame level instead of the bin level, was also successfully used by Gmann and Spiertz to improve RTISI-LA [20].

Recently, several authors investigated signal reconstruction from magnitudes with specific task-related side information. Those developed in the context of source separation are of particular interest to this article. Gunawan and Sen [21] proposed the multiple input spectrogram inversion (MISI) algorithm to reconstruct multiple signals from their magnitude spectrograms and their mixture signal. The phase of the mixture signal acts as very powerful side information, which can be exploited by imposing that the reconstructed complex spectrograms add up to the mixture complex spectrogram when estimating their phases, leading to much better reconstruction quality than in situations where the mixture signal is not available. Sturmel and Daudet's partitioned phase retrieval (PPR) method [9] also handles the reconstruction of multiple sources. They proposed to reconstruct the phase of the magnitude spectrogram obtained by Wiener filtering by applying a GL-like algorithm which keeps the mixture phase in high SNR regions as a good estimate for the

corresponding source and only updates the phase in low to mid SNR regions. Both methods however only modify the phase of the sources, and thus implicitly assume that the input magnitude spectrograms are close to the true source spectrograms, which is not realistic in general in the context of blind or semi-blind source separation. Sturmel and Daudet proposed to extend MISI to allow for modifications of both the magnitude and phase, leading to the informed source separation using iterative reconstruction (ISSIR) method [22], and showed that it is efficient in the context of informed source separation where a quantized version of the oracle magnitude spectrograms is available. Methods to jointly estimate phase and magnitude for blind source separation and speech enhancement will be presented later in this article.

SINUSOIDAL MODEL BASED PHASE ESTIMATION

In contrast to the iterative approaches presented in the previous section, sinusoidal model based phase estimation [4] does not require estimates of the clean speech spectral magnitudes. Instead, the clean spectral phase is estimated using only an estimate of the fundamental frequency, which can be obtained from the degraded signal. However, since usage of the sinusoidal model is reasonable only for voiced sounds, these approaches do not provide valid spectral phase estimates for unvoiced sounds, like fricatives or plosives.

For a single sinusoid, $\sin(\Omega n + \varphi)$, with the normalized angular frequency Ω , the phase difference between two samples $n_2 = n_1 + R$ is given by $\Delta\phi = \phi(n_2) - \phi(n_1) = \Omega R$. For a harmonic signal, H sinusoids at integer multiples of the normalized angular fundamental frequency Ω_0 , i.e. $\Omega^h = (h + 1)\Omega_0 \in [0, 2\pi)$, are present at the same time:

$$s(n) = \sum_{h=0}^{H-1} A^h(n) \cos(\Omega^h(n) \cdot n + \varphi^h), \quad (5)$$

with real-valued amplitude A^h and the initial time domain phase φ^h of harmonic component h . Due to the fixed relation between the frequencies, (5) is also referred to as the harmonic model, which is a special case of the more general sinusoidal model. The harmonic frequencies and amplitudes are assumed to be constant over the length of one STFT signal segment, i.e. $A^h(\ell R + n) = A_\ell^h$ and $\Omega^h(\ell R + n) = \Omega_\ell^h, \forall n \in [0, 1, \dots, N - 1]$.

In speech enhancement, the sinusoidal model has for instance been employed in [23], where the model parameters are iteratively estimated from a noisy observation in the STFT domain, and the enhanced signal is synthesized using (5). In the absence of noise, synthesis results are reported to be almost indistinguishable from the clean speech signal, underlining the capability of (5) to accurately model voiced human speech. In contrast to [23], we now discuss how the sinusoidal model (5) can be employed to directly reconstruct the STFT phase. If the frequency resolution of the STFT is high enough to resolve the harmonic frequencies Ω^h in (5), in each

frequency band k only a single harmonic component is dominant. The harmonic that dominates frequency band k is denoted as

$$\Omega_{k,\ell}^h = \underset{\Omega_\ell^h}{\operatorname{argmin}} \{ |2\pi k/N - \Omega_\ell^h| \}, \quad (6)$$

i.e. the component that is closest to the center frequency $2\pi k/N$ of the k^{th} frequency band. Interpreting the STFT of a signal as the output of a complex filter bank sub-sampled by the hop size R , the spectral phase changes from segment to segment according to

$$\phi_{k,\ell}^S = \phi_{k,\ell-1}^S + \Omega_{k,\ell}^h R = \phi_{k,\ell-1}^S + \Delta\phi_{k,\ell}^S. \quad (7)$$

When the clean signal $s(n)$ is deteriorated by noise, the spectral phases and thus the temporal phase differences $\Delta\phi_{k,\ell}^S$ are deteriorated as well. With an estimate of the fundamental frequency at hand, however, the temporal phase relations in each band can be restored using (7) recursively from segment to segment.

Already almost 50 years ago, a similar approach for the propagation of the spectral phase along time has been taken in the *phase vocoder* [5] for time-scaling or pitch-shifting of acoustic signals. The temporal STFT phase difference is modified according to

$$\widehat{\phi}_{k,\ell}^S = \widehat{\phi}_{k,\ell-1}^S + \alpha \Delta\phi_{k,\ell}^S, \quad (8)$$

where in this context, $\Delta\phi_{k,\ell}^S$ is often referred to as the instantaneous frequency. By scaling $\Delta\phi_{k,\ell}^S$ with the positive real valued factor α , the instantaneous frequency of the signal component is either increased ($\alpha > 1$) or decreased ($\alpha < 1$). Comparing (7) to (8), the phase estimation along time for speech enhancement can be expressed in terms of a phase vocoder with a scaling factor of $\alpha = 1$. However, the application is completely different: instead of deliberately modifying the original phase, the clean speech phase is estimated from a noisy observation. It is worth noting that for the phase vocoder, in contrast to phase estimation in speech enhancement, no fundamental frequency estimate is needed, as the phase difference $\Delta\phi_{k,\ell}^S = \phi_{k,\ell}^S - \phi_{k,\ell-1}^S$ can be taken directly from the clean original signal.

For an accurate estimation of the clean spectral phase along segments (7) a proper initialization is necessary [4]. In voiced sounds the bands between spectral harmonics contain only little signal energy and, in the presence of noise, these bands are likely to be dominated by the noise component, i.e. $\phi_{k,\ell}^Y \approx \phi_{k,\ell}^N$, where $\phi_{k,\ell}^Y$ and $\phi_{k,\ell}^N$ are the spectral phases of the noisy mixture and the noise, respectively. Even though the phase might be set consistent within each band, the spectral relations across frequency bands are distorted already at the initialization stage. Directly applying (7) to every frequency band therefore does not necessarily yield phase estimates which could be employed for phase-based speech enhancement [4].

In the phase vocoder, this problem can be alleviated by aligning phases of neighboring frequency bands relative to each other, which is known as phase locking, e.g. [24]. There, the phase is evolved along time only in frequency bands that directly contain harmonic components. The phase in the surrounding bands, which are dominated by the same harmonic, is then set relative to the modified phase. For this, the spectral phase relations of the original signal are imposed on the modified phase spectrum.

In the context of speech enhancement, the same principle has been incorporated to improve the estimation of the clean speech spectral phase [4]. However, since only a noisy signal is observed, the clean speech phase relations across frequency bands are not readily available. To overcome this limitation, again the sinusoidal model is employed. The spectrum of a harmonic signal segment is given by the cyclic convolution of a comb-function with the transfer function of the analysis window, which causes spectral leakage. The spectral leakage induces relations not only between the amplitudes, but also between the phases of neighboring bands. It can be shown that phases of bands which are dominated by the same harmonic are directly related to each other through the phase response of the analysis window ϕ_k^W , see e.g. [4] for more details. Accordingly, starting from a phase estimate at a band that contains a spectral harmonic, possibly obtained using (7), the phase of the surrounding bands can be inferred by accounting for the phase shift introduced by the analysis window. For this, only the fundamental frequency and the phase response ϕ_k^W are required, of which the latter can be obtained off-line either from the window's discrete-time Fourier transform (DTFT) or from its DFT with a large amount of zero padding. The complete setup of [4] is illustrated in Fig. 3.

It can be argued that for speech enhancement, the phase reconstruction across frequency bands between harmonics is more important than the temporal reconstruction on the harmonics: on the one hand, the local SNR in bands that directly contain harmonics is rather large for many realistic SNR situations, i.e. $\phi_{k,\ell}^Y \approx \phi_{k,\ell}^S$. Thus, the temporal alignment of the harmonic components is maintained rather well in the noisy signal. Further, the noisy phase $\phi_{k,\ell}^Y$ in these bands typically yields a good starting point for the phase reconstruction along frequency. On the other hand, frequency bands between harmonics are likely to be dominated by the noise, i.e. $\phi_{k,\ell}^Y \approx \phi_{k,\ell}^N$, and the clean phase relations across bands are strongly disturbed. Here, the possible benefit of the phase reconstruction is much larger.

Even though the employed model is simple and limited to purely voiced speech sounds, the obtained phase estimates yield valuable information about the clean speech signal that can be employed for advanced speech enhancement algorithms. Interestingly, even the sole enhancement of the spectral phase can lead to a considerable reduction of noise between harmonic components of voiced speech after overlap-add [4]. This is because the speech components of

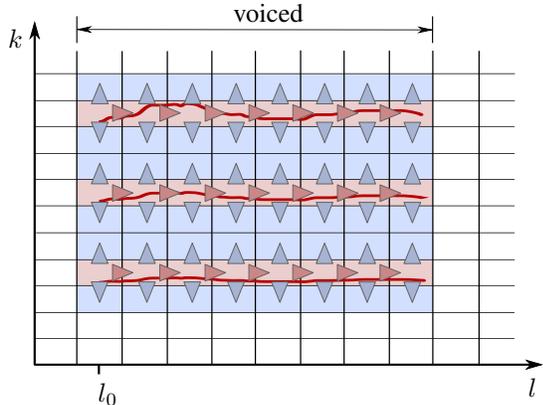


Fig. 3. Symbolic spectrogram illustrating the sinusoidal model based phase estimation [4]. First, the fundamental frequency is estimated and voiced segments are detected. Starting from the noisy phase at the onset of a voiced sound in segment ℓ_0 , in bands containing harmonic components (red) the phase is estimated along segments. Based on the temporal estimates, the spectral phase of bands between the harmonics (blue) is then inferred across frequency.

successive segments are adding up constructively after the phase modifications, while the noise components suffer from destructive interference, since the phase relations of the noise have been destroyed. However, speech distortions are also introduced, which are substantially reduced when the estimated phase is combined with an enhanced magnitude, as e.g. in [25]. Besides its value for signal reconstruction, the estimated phase can also be utilized as additional information for phase-aware magnitude estimation [25] and even for the estimation of clean speech complex coefficients [12], which will be discussed in more detail later.

GROUP DELAY AND TRANSIENT PROCESSING

Structures in the phase are not limited to voiced sounds, but are also present for other sounds, like impulses or transients. These structures are well captured by the group delay, which can be seen at the lower left of Fig. 1, rendering it a useful representation for phase processing. For example, the group delay has been employed to facilitate clean speech phase estimation in phase-sensitive noise reduction [26]. It can be shown geometrically that if the spectral magnitudes of speech and noise are known, only two possible combinations of phase values remain, both of which perfectly explain the observed spectral coefficients of the mixture. Mowlae and Saedi in [26], and references therein, proposed to solve this ambiguity by choosing the phase combination that minimizes a function of the group delay.

Besides phase estimation, the group delay has successfully been employed for the detection of transients sounds, such as sounds of short duration and speech onsets. To illus-

trate the role of the phase for transient sounds, let us consider a single impulse as the simplest example. The DFT of such a pulse is $A e^{-j2\pi \frac{n_0 k}{N}}$, where n_0 is the shift of the peak relative to the beginning of the current segment and A denotes the spectral magnitude. Hence, we observe a linear phase with a constant slope of $-2\pi \frac{n_0}{N}$. For impulsive signals, we accordingly expect a phase difference across frequency bands that is approximately constant, i.e. a constant group delay. That this is the case also for real speech sounds can be seen in the lower left of Fig. 1, where transient sounds show vertical lines with almost equal group delay.

For the detection of impulsive sounds, in [27] a linearity index $LI_\phi(k)$ is defined, which measures the deviation of the observed phase difference across frequencies to the one that is expected for an impulse at n_0 , i.e. $-2\pi \frac{n_0}{N}$. The observed phase-differences are weighted with the spectral magnitude and averaged over frequency to obtain an estimate of the time domain offset n_0 . Only if $LI_\phi(k)$ is close to zero, i.e. the observed phase fits well to the expected linear phase, an impulsive sound is detected. The detection can be made either at a segment level or for each time-frequency point separately. While the former states if an impulsive sound is present in the current signal segment or not, the latter allows to localize frequency regions that are dominated by an impulsive sound, like e.g. a narrowband onset.

Apart from the group delay, the instantaneous frequency (IF), which corresponds to the temporal derivative of the phase, has also been employed for the detection of transient sounds, e.g. in [28] and the references therein. For steady-state signals, like voiced sounds, the IF is changing only slowly over time, due to the temporal correlation of the overlapping segments. When a transient is encountered, however, the most current segment differs significantly from previous segments and thus the IF also changes abruptly. This can be observed at the lower right of Fig. 1, where at speech onsets thin vertical lines appear in the IF deviation. Hence, the change of the IF from segment to segment – and its distribution – allow for the detection of transient sounds, like e.g. note onsets [28].

The phase of transient sounds is not only relevant for detection, but also for the reduction of transient noise. In low SNR time-frequency regions, the observed noisy phase is close to the approximately linear phase of the transient noise. This can lead to artifacts in the enhanced signal if only the spectral magnitude is improved and the noisy phase is used for signal reconstruction: usage of the phase of the transient noise reshapes the enhanced time domain signal in an uncontrolled way, such that it may again depict an undesired transient behavior. Even for a perfect magnitude estimate, the interfering noise is not perfectly suppressed if the phase is not processed alongside. To illustrate this, let us consider a speech signal degraded by an impulse train with a period length of T_0 , which is non-zero every $N_0 = T_0 f_s$ samples. In Fig. 4, the noisy signal (left) is presented together with

the result obtained when combining the true clean speech STFT magnitudes with the noisy phase (center). Even though the clean magnitude is employed, which represents the best possible result for phase-blind magnitude enhancement, the time domain signal still depicts residual impulses, which are caused by the noisy phase. In regions where the enhanced spectral magnitude is close to zero, i.e. in speech absence, the phase is not relevant and the peaks are well suppressed. During speech presence, however, the spectral magnitude is non-zero and the phase becomes important. Accordingly, the residual impulses are most prominent in regions with relevant speech energy and low local SNRs, where the noisy phase is close to the phase of the impulsive noise.

Recently, Sugiyama and Miyahara proposed the concept of *phase randomization* to overcome this issue, see e.g. [27] and references of the same authors therein. First, time frequency points which are dominated by speech are identified by finding spectral peaks in the noisy signal. These peaks are excluded from the phase randomization to avoid speech distortions. To further narrow down time-frequency regions where randomization of the spectral phase is sensible, phase-based transient detection can be employed as well [27]. Then, the spectral phase in bins classified as dominated by transient noise is randomized by adding a phase term that is uniformly distributed between $-\pi$ and π . In this way, the approximately linear phase of the dominant noise component is neutralized. The effect of phase randomization is depicted at the right of Fig. 4, where a perfect magnitude estimate is combined with the modified phase for signal reconstruction. It can be seen that the residual peaks that are present when the noisy phase is employed (center of Fig. 4) are strongly attenuated, showing that phase randomization can indeed lead to a considerable increase of noise reduction, especially in low local SNRs. It is interesting to note that while the previously described iterative and sinusoidal model based approaches aim at estimating the phase of the clean speech signal, the phase randomization approach merely aims at reducing the impact of the phase of the noise on the enhanced speech signal. Although the presented example is just a simple toy experiment, it still highlights the potential of phase randomization towards an improved suppression of transient noise, which has also been observed for real-world impulsive noise, like tapping noise on a touchscreen [27].

RELATION BETWEEN PHASE AND MAGNITUDE ESTIMATION

While so far we discussed phase estimation using iterative approaches, sinusoidal model based approaches, and group delay approaches, we now address the question how STFT phase estimation can best be employed to improve speech enhancement. The most obvious way to do this is to combine enhanced speech spectral magnitudes in the STFT domain with the estimated or reconstructed STFT phases. It is interesting to note that already Wang and Lim [10] stated that

obtaining a more accurate phase estimate than the noisy phase is not worth the effort “*if the estimate is used to reconstruct a signal by combining it with an independently estimated magnitude [...]. However, if a significantly different approach is used to exploit the phase information such as using the phase estimate to further improve the magnitude estimate, then a more accurate estimation of phase may be important*” [10]. However, at that point it was not clear how a phase estimate could be employed to improve magnitude estimation.

Recently, Gerkmann and Krawczyk [25] derived a MMSE estimator of the spectral magnitude when an estimate of the clean speech phase is available, referred to as *phase-sensitive* or *phase-aware* magnitude estimation. They were able to show that the information of the speech spectral phase can be employed to derive an improved magnitude estimator that is capable of reducing noise outliers that are not tracked by the noise PSD estimator. In babble noise, in a blind setup, the PESQ MOS can be improved by 0.25 points in voiced speech at 0 dB input SNR [25]. Further experimental results are given in the following section.

Instead of estimating phase and magnitude separately, one may argue that they should ideally be jointly estimated. The first step in this direction was proposed by Le Roux and Vincent [29] – and references of the same authors therein – in the context of Wiener filtering for speech enhancement. As a classical Wiener filter only changes the magnitudes in the STFT domain, the modified spectrum $\tilde{\mathbf{X}}$ is inconsistent, meaning that $\text{STFT}(\text{iSTFT}(\tilde{\mathbf{X}})) \neq \tilde{\mathbf{X}}$. In contrast to this, in [29] the relationship between STFT coefficients across time and frequency is taken into account, leading to the *consistent Wiener filter* [29], which modifies both the magnitude and the phase of the noisy observation to obtain the separated speech. Wiener filter optimization is formulated as a maximum a posteriori problem under Gaussian assumptions, and a consistency-enforcing term is added either through a hard constraint or a soft penalty. Optimization is respectively performed directly on the signal in the time domain or jointly on phase and magnitude in the complex time-frequency domain, through a conjugate gradient method with a well-chosen preconditioner. Thanks to this joint optimization, the consistent Wiener filter was shown to lead to an improved separation performance compared to the classical Wiener filter and other methods that attempt to use phase information in combination with variance estimates [9, 21, 22], in an oracle scenario as well as in a blind scenario where the speech spectrum is obtained by spectral subtraction from a stationary estimate of the noise spectrum.

In order to combine phase sensitive magnitude estimation and iterative approaches, Mowlae and Saeidi [26] propose to place the phase sensitive magnitude estimator into the loop of an iterative approach that enforces consistency. Starting with an initial group-delay based phase estimate, they propose to estimate the clean speech spectral magnitude using a phase sensitive magnitude estimator similar to [25]. After comput-

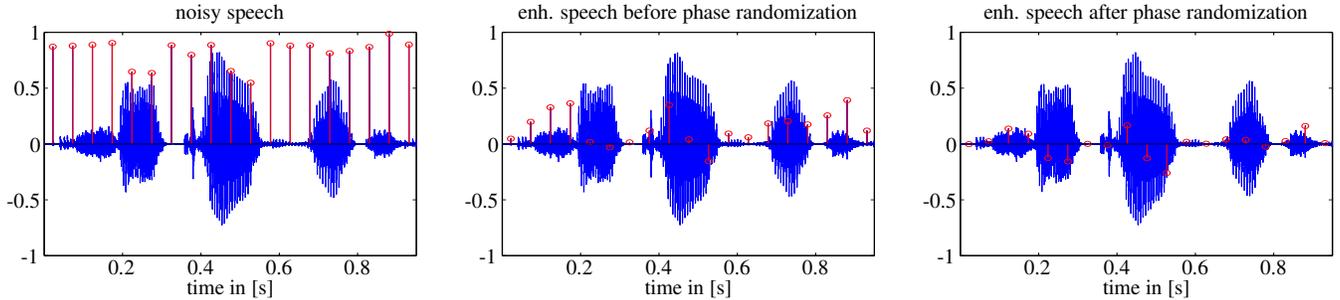


Fig. 4. From left to right: speech degraded by a click train, the result obtained by combination of the clean speech spectral magnitude with the noisy phase, and the result after supplemental phase randomization. Samples that contain a click are highlighted in red.

ing the iSTFT and the STFT they reestimate the clean speech phase, and from this reestimate the magnitudes. With this approach convergence is reached after only few iterations.

Another way to jointly estimate magnitudes and phases is to derive a joint MMSE estimator of magnitudes and phases directly in the STFT domain when an uncertain initial phase estimate is available. This phase-aware complex estimator is referred to as the *Complex estimator with Uncertain Phase* (CUP) [12]. The initial phase estimate can be obtained by an estimator based on signal characteristics, such as the sinusoidal model based approach [4]. Using this joint MMSE estimator [12], no STFT iterations are required. The resulting magnitude estimate is a non-linear trade-off between a phase-blind and a phase-aware magnitude estimator, while the resulting phase is a trade-off between the noisy phase and the initial phase estimate. These trade-offs are controlled by the uncertainty of the initial phase estimate, avoid processing artifacts and lead to an improvement in predicted speech quality [12]. Experimental results for the CUP estimator are given in the following section.

EXPERIMENTAL RESULTS

In this section, we demonstrate the potential of phase processing to improve speech enhancement algorithms. To focus only on the differences due to the incorporation of the spectral phases, we choose algorithms that employ the same statistical models and power spectral density estimators: for the estimation of the noise PSD we choose our speech presence probability based estimator with fixed priors (see [6, Sec. 6.3] and references therein) while for the speech PSD we choose the decision-directed approach [7]. We assume a complex Gaussian distribution for the noise STFT coefficients and a heavy-tailed χ -distribution for the speech magnitudes. Furthermore, we use an MMSE estimate of the square root of the magnitudes to incorporate the compressive character of the human auditory system. These models are employed in the phase-blind magnitude estimator [30], the phase-aware magnitude estimator [25], and the phase-aware Complex esti-

imator with Uncertain Phase information (CUP) [12]. We use a sampling rate of 8 kHz and 32 ms spectral analysis windows with 7/8th overlap to facilitate phase estimation. To assess the speech quality, we employ PESQ as an instrumental measure which has been originally proposed for speech coding applications, but has been shown to correlate with subjective listening tests also for enhanced speech signals. The results are averaged over pink noise modulated at 0.5 Hz, stationary pink noise, babble noise, and factory noise, where the latter three are obtained from the NOISEX-92 database. In order to have a fair balance between male and female speakers, per noise type, the first 100 male and the first 100 female utterances from dialect region 6 of the TIMIT training database are employed. The initial phase estimate is obtained based on a sinusoidal model [4], which only yields a phase estimate in voiced speech. The fundamental frequency is estimated using PEFAC from the voicebox which can be found at <http://www.ee.ic.ac.uk/hp/staff/dmb/voicebox/voicebox.html>. As with [4] we only have a phase estimate in voiced sounds, we show the improvement in voiced segments alongside the overall improvement for entire utterances in Fig. 5. When the fundamental frequency estimator detects unvoiced speech segments, the estimators fall back to a phase-blind estimation. Thus, if evaluated over entire signals, the results of the phase-aware estimators will get closer to the phase-blind approaches while the general trends remain.

It can be seen that employing phase information to improve magnitude estimation [25] can indeed improve PESQ. The dominant benefit of the phase-aware magnitude estimators is that the phase provides additional information to distinguish between noise outliers and speech. Thus, the stronger outliers in the processed speech are, the larger is the potential benefit of phase-aware processing. While here we show the average result over four noise types, a consistent improvement for the tested nonstationary noise types has been observed. While in stationary pink noise the PESQ scores are virtually unchanged, the largest improvements have been achieved in babble. This is because babble bursts are often of high energy

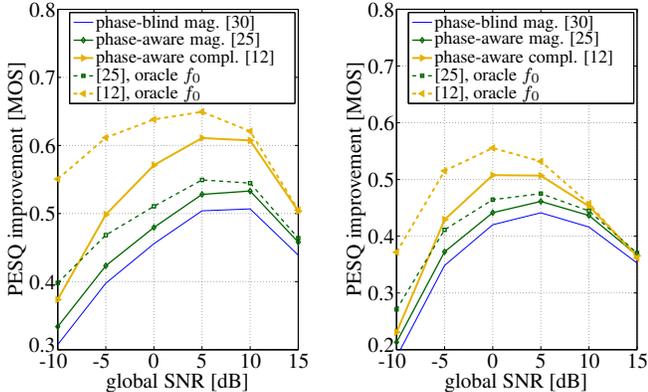


Fig. 5. PESQ improvement over the noisy input. The results are averaged over four noise types. Evaluated in voiced speech (left), and on the entire signal (right).

and may result in large outliers in phase-blind magnitude estimation that can be reduced by exploiting the additional information in the phase.

When an initial phase estimate is also employed as uncertain prior information when improving the spectral phase as proposed in the phase-aware complex estimator CUP [12], the performance can be improved further. The CUP estimator [12] employs the probability of a signal segment being voiced to control the certainty of the initial phase estimate. In unvoiced speech, the uncertainty is largest, effectively resulting in a phase-blind estimator. Therefore, again, we can only expect a PESQ improvement in voiced speech. Compared to phase-blind magnitude estimation [30] in voiced speech and at an input SNR of 0 dB, an improvement in PESQ by 0.12 points is achieved when all parameters are blindly estimated, while 0.18 points are gained with an oracle fundamental frequency. Considering that the improvement of the phase-blind estimator improves PESQ by 0.46 points, the additional improvement of 0.18 points by incorporating phase information in voiced speech is remarkable (factor 1.4), and demonstrates the potential of phase processing for the improvement of speech enhancement algorithms. While the average improvements using phase processing are still moderate, in specific scenarios, e.g. in voiced sounds or impulsive noise, phase processing can help to reduce noise more effectively than using phase-blind approaches. Audio examples can be found at www.speech.uni-oldenburg.de/pasp.html.

FUTURE DIRECTIONS

While the majority of single channel STFT domain speech enhancement algorithms only address the modification of STFT magnitudes, in this paper we reviewed methods that also involve STFT phase modifications. We showed that phase estimation could be done mainly based on models of the signal or by exploiting redundancy in the STFT represen-

tion. Examples for model based algorithms are sinusoidal model based approaches, and approaches that employ the group delay. By contrast, iterative approaches mainly rely on the spectro-temporal correlations introduced by the redundancy of the STFT representation with overlapping signal segments. While the results of the instrumental evaluations indicate that a sophisticated utilization of phase information can lead to improvements in speech quality, for a conclusive assessment, formal listening tests are required, rendering the subjective evaluation of particularly promising phase-aware algorithms a necessity for future research.

Despite recent advances, there are still many open issues in phase processing. For instance, similar to magnitude estimation, phase estimation is still difficult in very low SNRs. A promising approach for performance improvement is to join the different types of phase processing approaches, for instance by including more explicit signal models into iterative phase estimation approaches or vice versa. A first step in this direction is presented in [26]. As another example, while the consistent Wiener filter only exploits the phase structure of the STFT representation, an exciting challenge going forward is to integrate models of the phase structure of the signal itself into a joint optimization framework.

Modern machine learning approaches such as deep neural networks, which have proven to be very successful in improving speech recognition performance, have recently been shown to lead to state-of-the-art performance for speech enhancement using a magnitude-based approach. The natural next step is to extend their use to phase estimation to further improve performance. On top of the fact that they are data-driven, which reduces the necessity for modeling assumptions that may be inaccurate, a great advantage of such methods over the iterative approaches for phase estimation presented here or approaches based on non-negative matrix factorization or Gaussian mixture models, is that they are typically efficient to evaluate at test time.

Indeed, striving for fast light-weight algorithms is critical in the context of assisted listening and speech communication devices, where special requirements with respect to complexity and latency persist. While more and more computational power will be available with improved technology, for economic reasons as well as to limit power consumption, it is always of interest to keep the complexity as low as possible. Thus, more research in reducing complexity remains of interest. Complexity reduction could be obtained for instance by decreasing the overlap of the STFT analysis, but its impact on performance of phase estimation algorithms is not well studied. On the other hand, the lower bound on the latency of the algorithms is dominated by the window lengths in STFT analysis and synthesis. Further research could therefore also address phase estimation using low latency filterbanks.

After many years in the shadow of magnitude-centric speech enhancement, the phase-aware signal processing is now burgeoning and expanding quickly: with still many as-

pects to explore, it is an exciting area of research that is likely to lead to important breakthroughs and push speech processing forward.

Supplemental material and further references can be found at www.speech.uni-oldenburg.de/pasp.html.

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